

# ICADS

Integrated Program in Cancer and Data Science



## FAIR Artificial Intelligence/Machine Learning (AI/ML) Course

Part of the T32 Integrated Cancer Data Science Program

*Spring 2022*

**Co-directors:** Issam El Naqa and AC Tan

**Co-PIs:** Cress Fridley, Flores

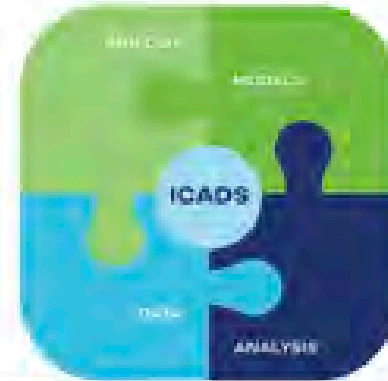


(<http://lab.moffitt.org/elnaqa>)



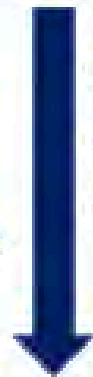
# ICADS

Integrated Program in Cancer and Data Science



## Clinical and Research Data Made AI FAIR

- Findable
- Accessible
- Interoperable
- Reusable



- Coursework via USF
- Workshops via RET
- Public Videos via YouTube



### WORKFORCE Members Impacted

- Ph.D Students
- Postdoctoral Fellows
- Moffitt Faculty and Staff
- Potential Clinical Trainees
- Researchers world-wide
- Clinicians world-wide

Machine and Deep Learning Algorithm



Clinical Decision Making



Improvement in Health  
and Patient Outcome

# Course Schedule



- 5/02 **Introduction to AI/ML/DL algorithms** (Dr. El Naqa)
- 5/09 **Training requirements for ML** (Dr. El Naqa)
- 5/16 **Methods Assessment, Uncertainty and bias estimation** (Dr. Tan)
- 5/23 **FAIR Principles** (Dr. Teer and Dr. Luo)
- 6/06 **Best practices** (Dr. Teer and Dr. Luo)
- 6/13 **Data resources** (Dr. Tan)
- 6/20 **Hands-on Workshop 1 – Radiomics** (Ms. Gorre and Mr. Carranza)
- 6/27 **Hands-on Workshop 2 – Cancer genomics** (Dr. Tan)



# Lecture 1: Introduction to AI/ML/DL algorithms

*Issam El Naqa, PhD*



# Machine and Deep Learning in Oncology, Medical Physics and Radiology

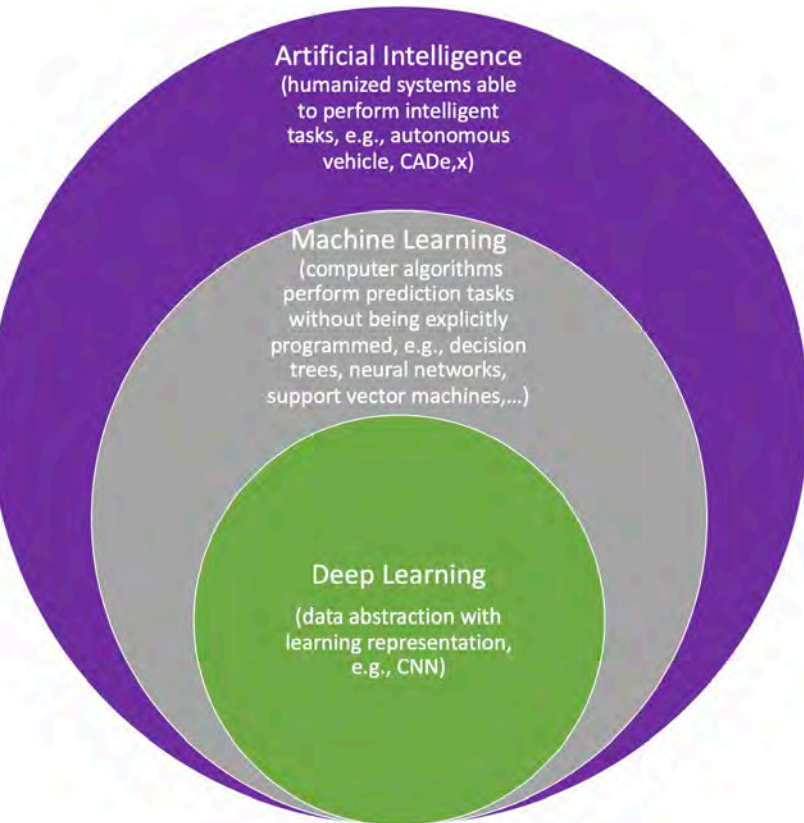
Issam El Naqa  
Martin J. Murphy  
*Editors*

*Second Edition*

 Springer

<https://link.springer.com/book/10.1007/978-3-030-83047-2>

# What is AI/ML/DL?



El Naqa, BJR 125<sup>th</sup> Annv., 2020

## Artificial Intelligence

Originated in the 1950s

Build machines that think like humans



## Machine Learning

Originated in the 1960s

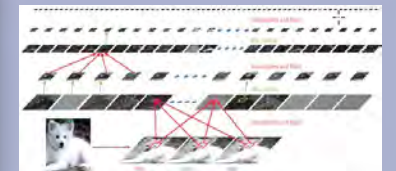
Computer algorithms that learn from data



## Deep Learning

Originated in the 1970s

Based on neural networks that learn features





# Formal definition of Machine Learning (ML)

ML is:

- Field of study that gives computers the ability to learn **without being explicitly programmed** (Samuel, 1959)
- Computer program is said to learn from experience **E** with respect to some class of tasks **T** and performance measure **P**, if its performance at tasks in **T**, as measured by **P**, improves with experience **E** (Mitchell, 1998)
- Programming computers to optimize a performance criterion **using example data or past experience** (Alpaydin, 2009)

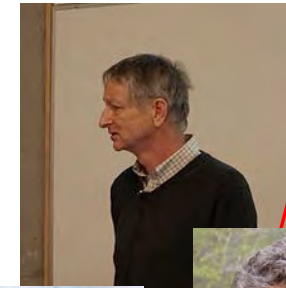
Related fields: ML builds on expertise from

- artificial intelligence, probability and statistics, computer science, information theory, neuroscience, psychology, control theory, and philosophy

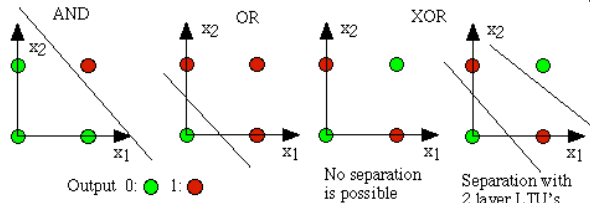
# Machine Learning Evolution Timeline



**FATHERS OF THE DEEP LEARNING REVOLUTION RECEIVE ACM A.M. TURING AWARD**  
 Bengio, Hinton, and LeCun Ushered in Major Breakthroughs in Artificial Intelligence



Subjective Popularity

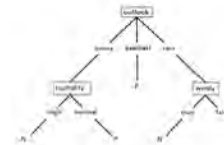


J.R. Quinlan

Vapnik, Cortes

Breiman

Freund, Schapire



SVM

Random Forests

AdaBoost

Perceptron (large scale)

2012

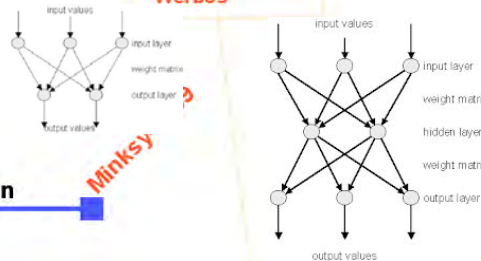
AlexNet wins ImageNet

Rosenblatt-1958

Perceptron

Minsky

Linnainmaa 1970  
Werbos



Neural Networks

LeCun  
Rumelhart, Hinton, Williams  
Hetch, Nielsen

Decision Tree, ID3

Hochreiter et. al.

J. Schmidhuber  
IDSIA

Hinton  
Bengio  
LeCun  
Andrew Ng.

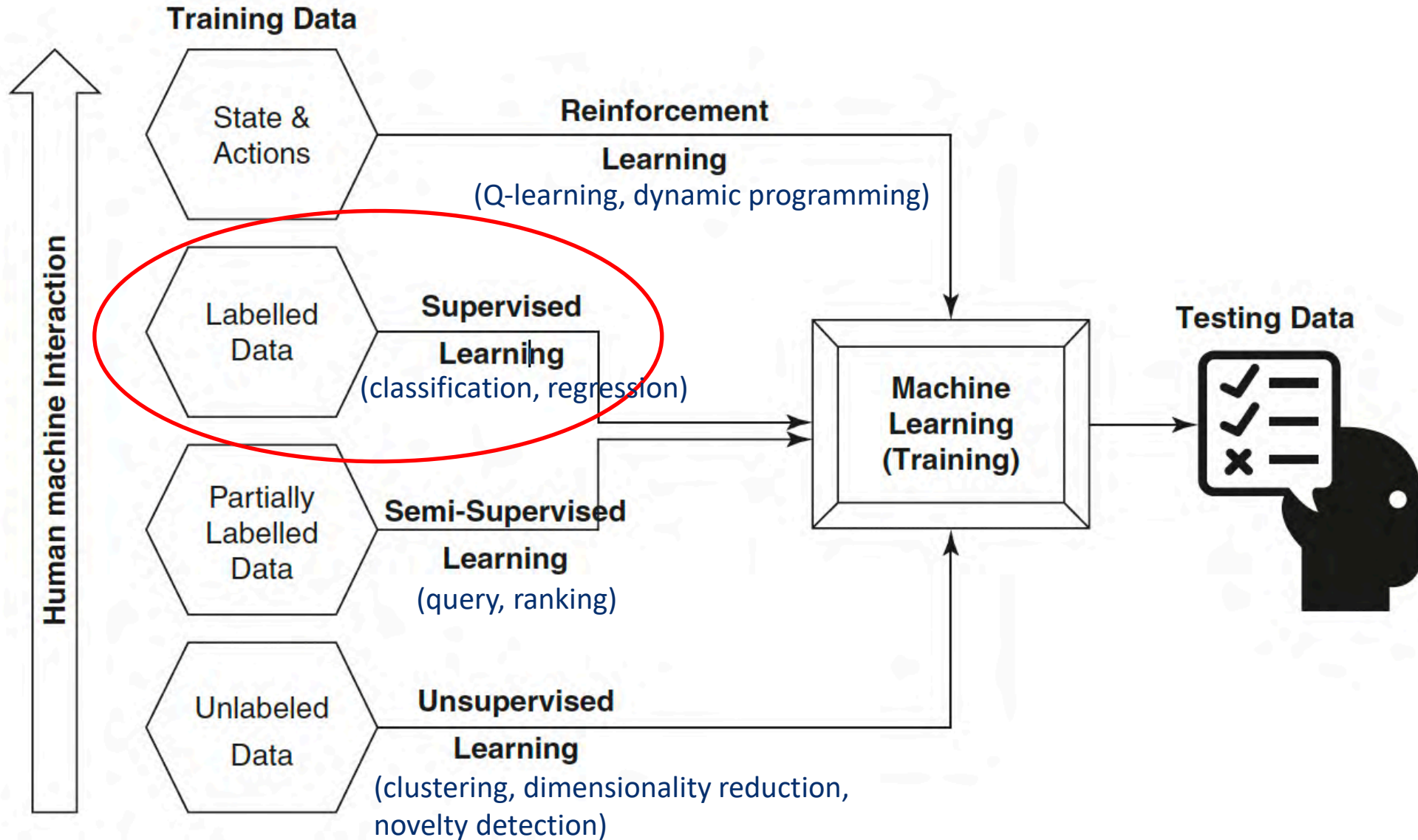
Created by erogol

(Adapted from E Golge)





# Machine learning by *tasks*





# Machine learning by *models*

Probabilistic models could be divided:

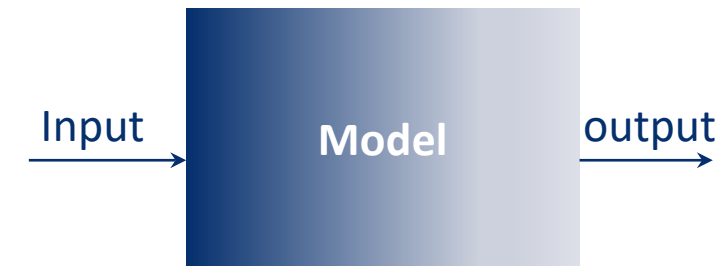
- *Discriminant* models

- Directly estimate posterior probabilities (logistic regression, neural networks, **convolutional neural networks**, random forests, support vector machines)
  - *Predict without knowing the system*



- *Generative* models

- Model class-conditional probability and priors ( **Bayesian networks**, Markov models)
  - *To predict you need to know the system*



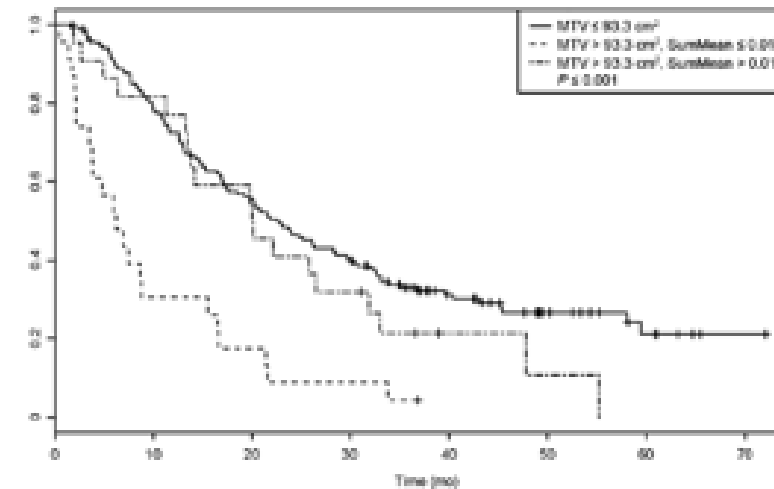
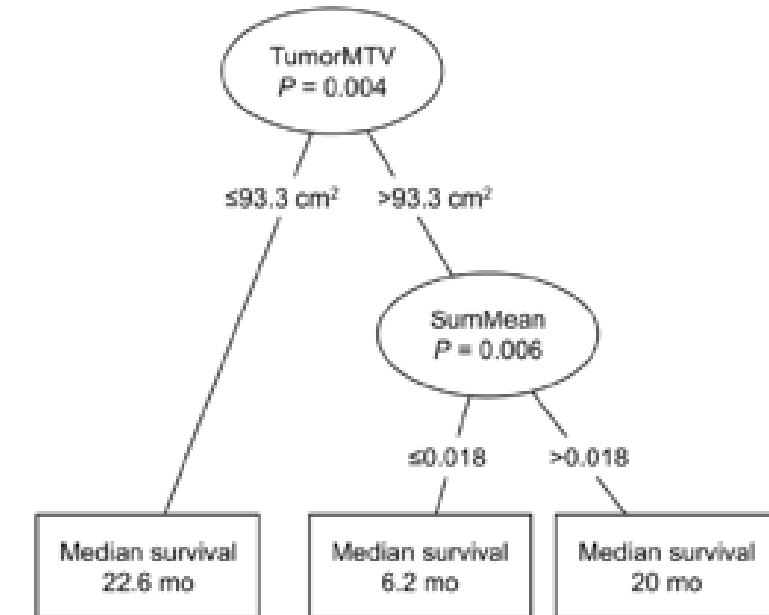
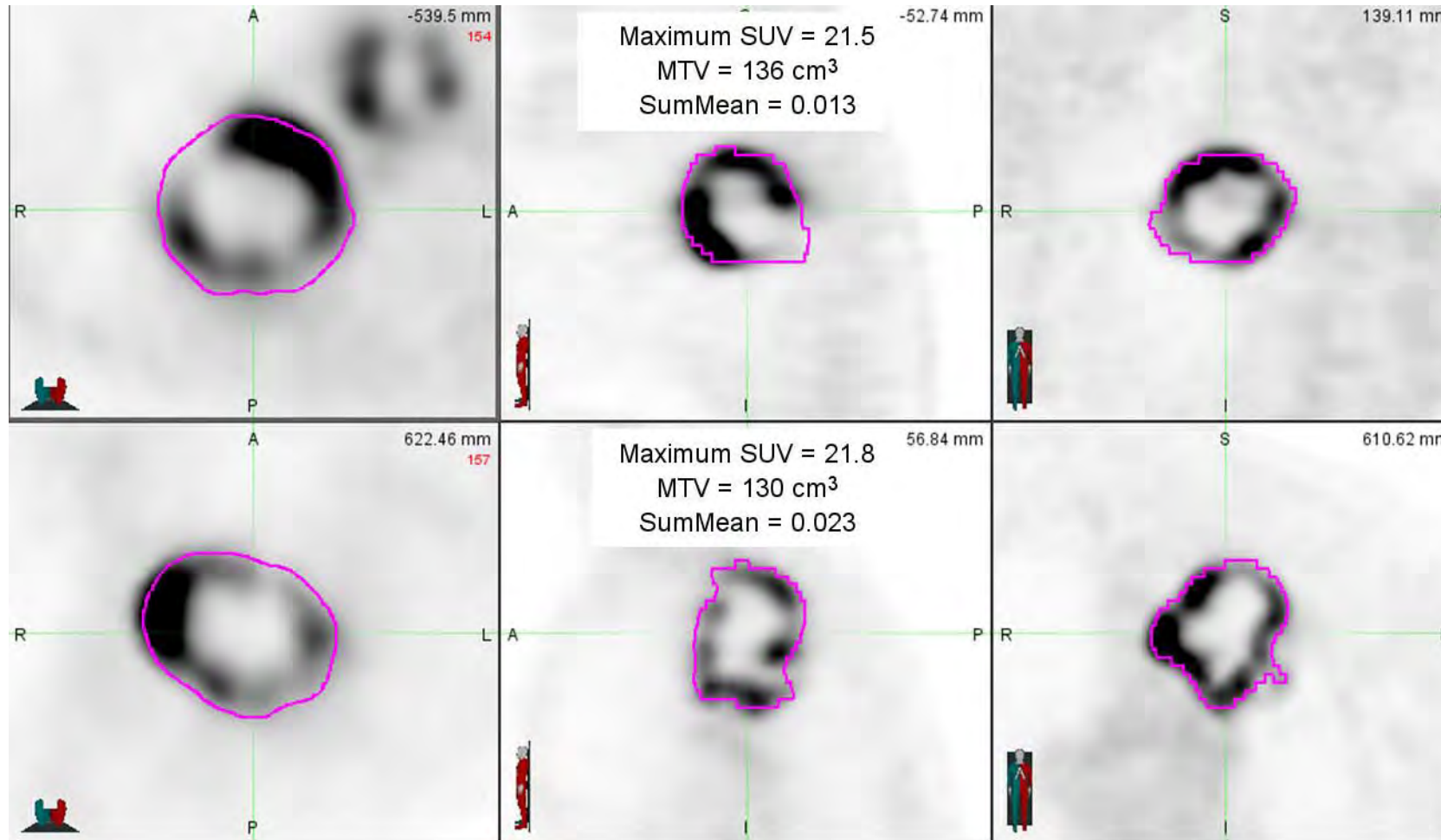
# The Universe of Machine Learning (ML)



Adapted from: Brownlee, 2013

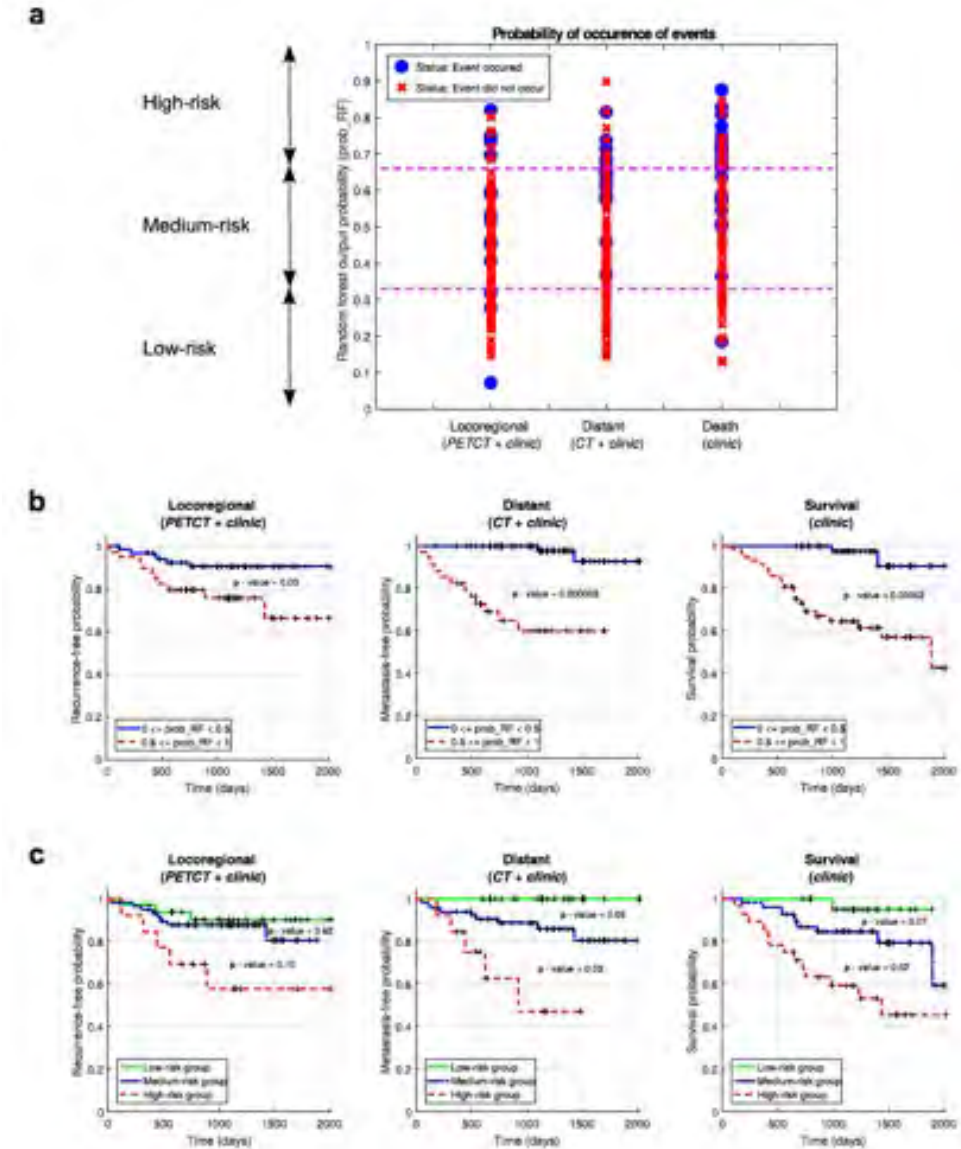
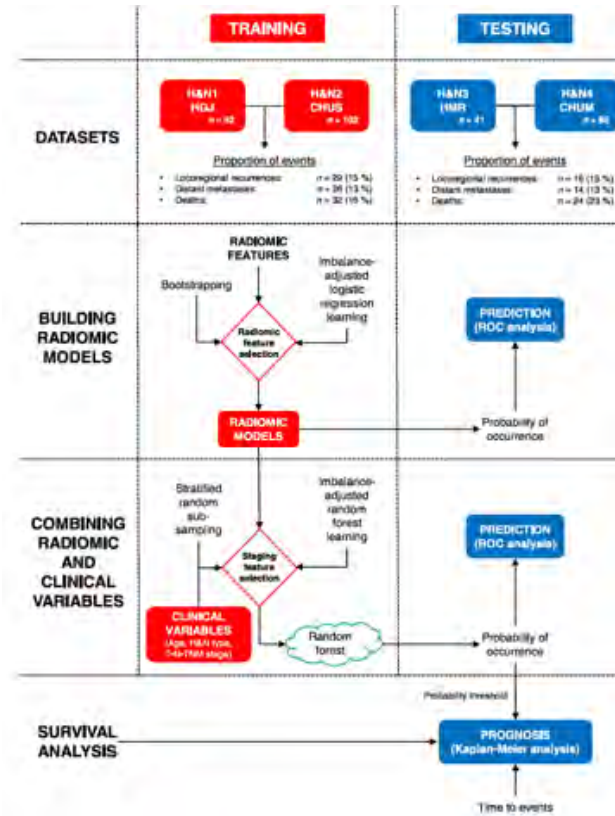
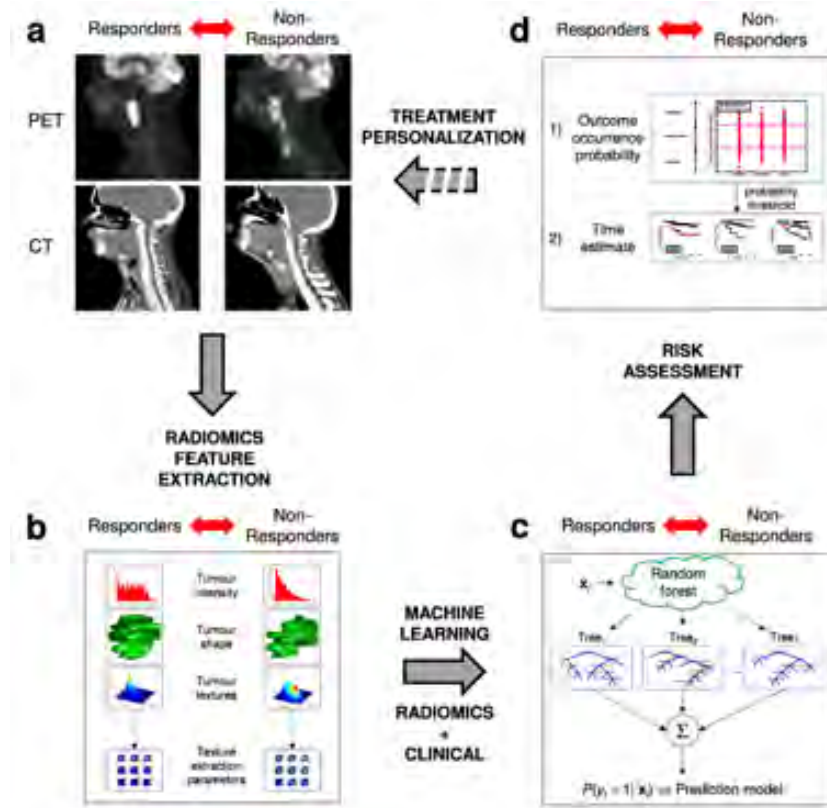


# Radiomics for lung cancer outcome modeling with decision trees

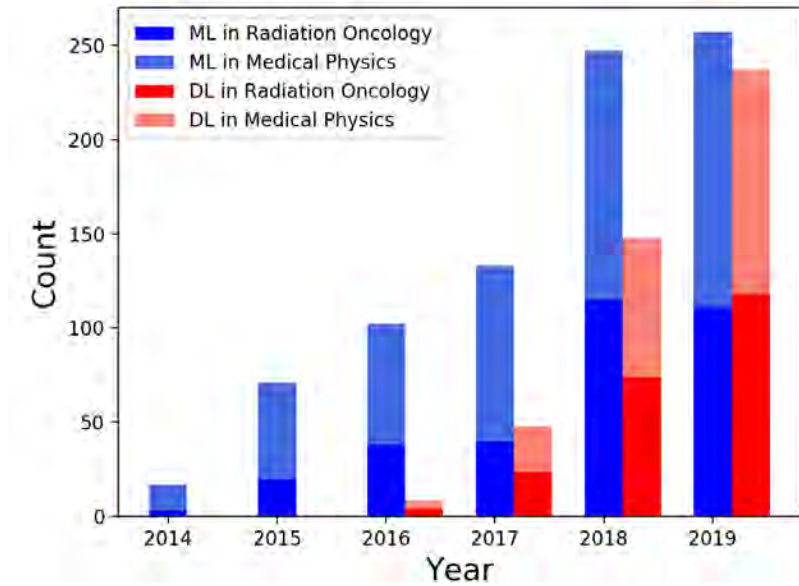
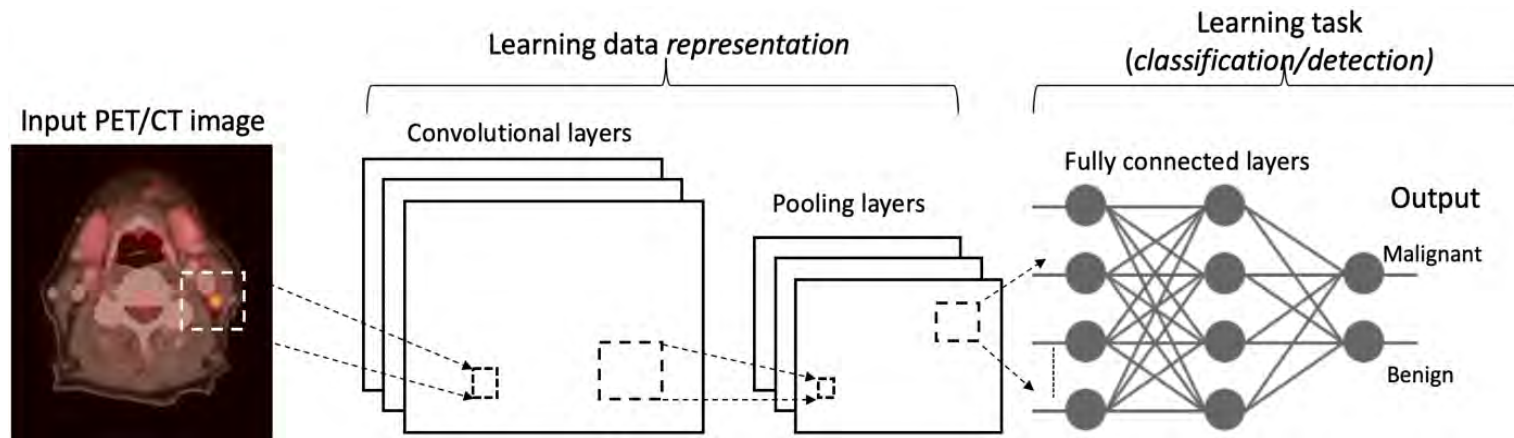
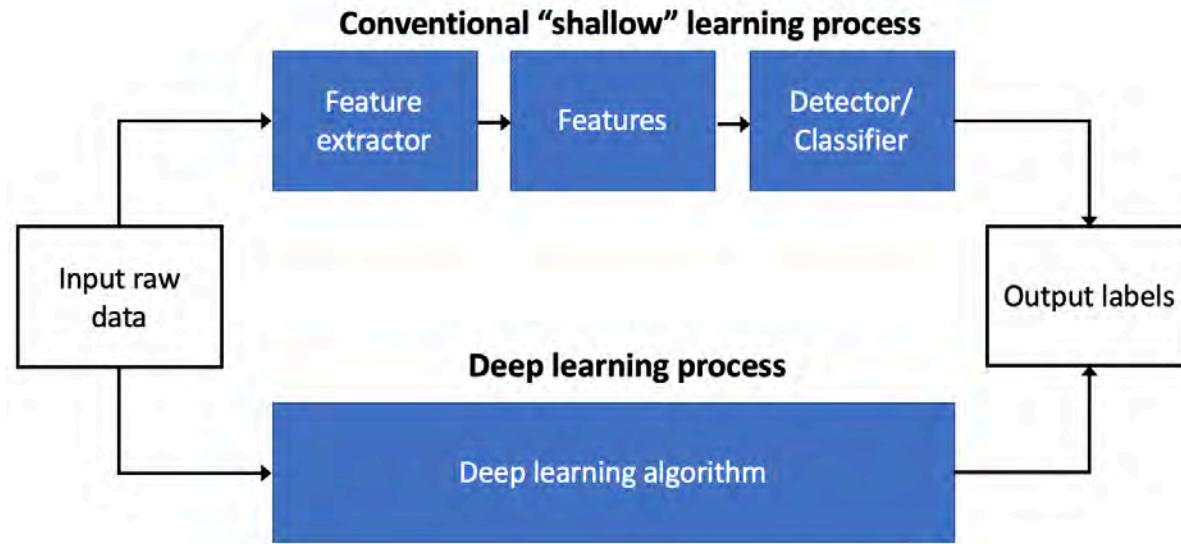




# Risk Assessment in Head & Neck



# Deep vs conventional machine learning

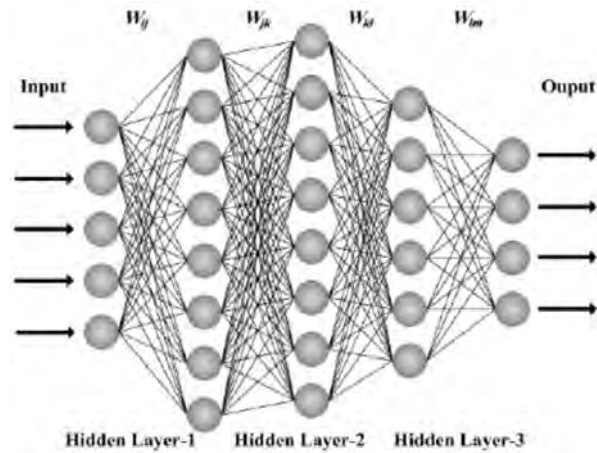


Cui, Med Phys, 2020

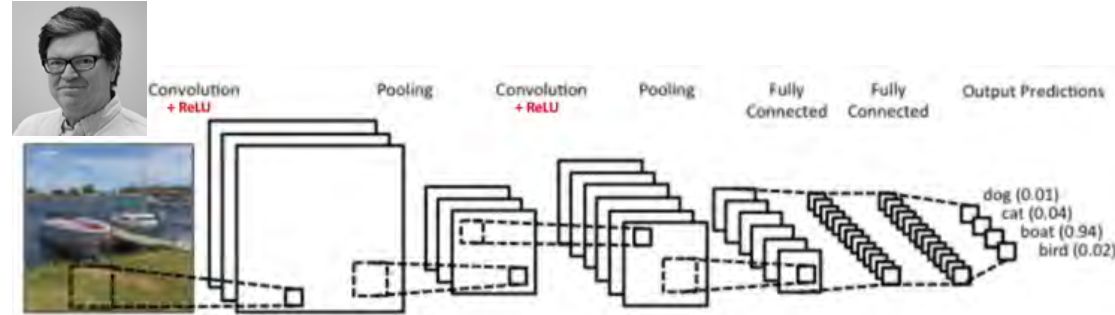
# Deep Learning (NN) Architectures



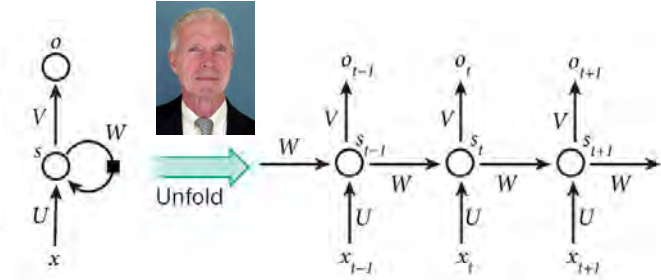
## Multi-layer neural perceptron (MLP)



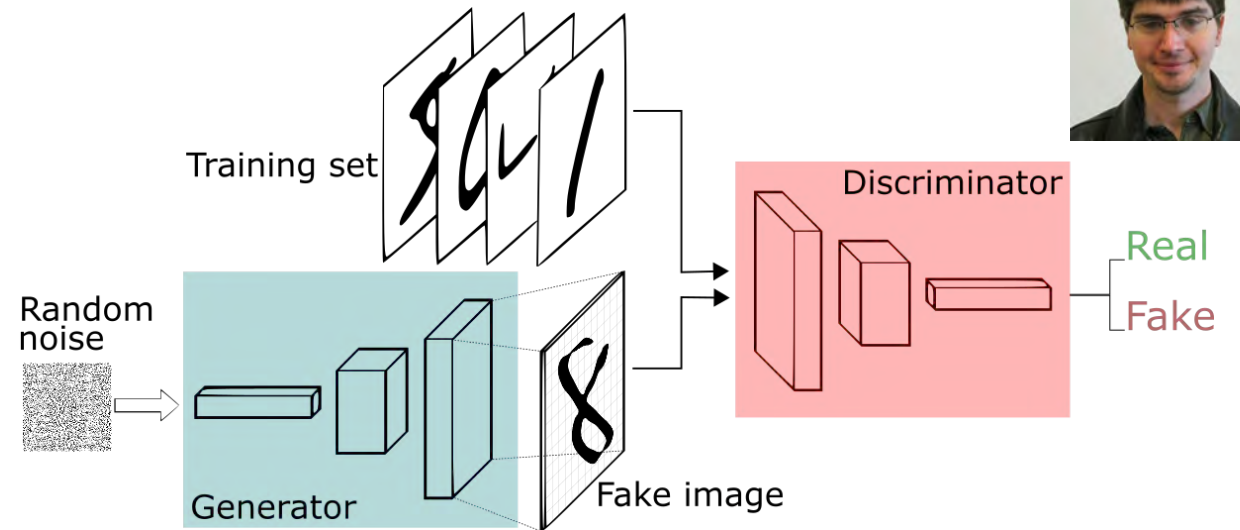
## Convolutional Neural Network (CNN)



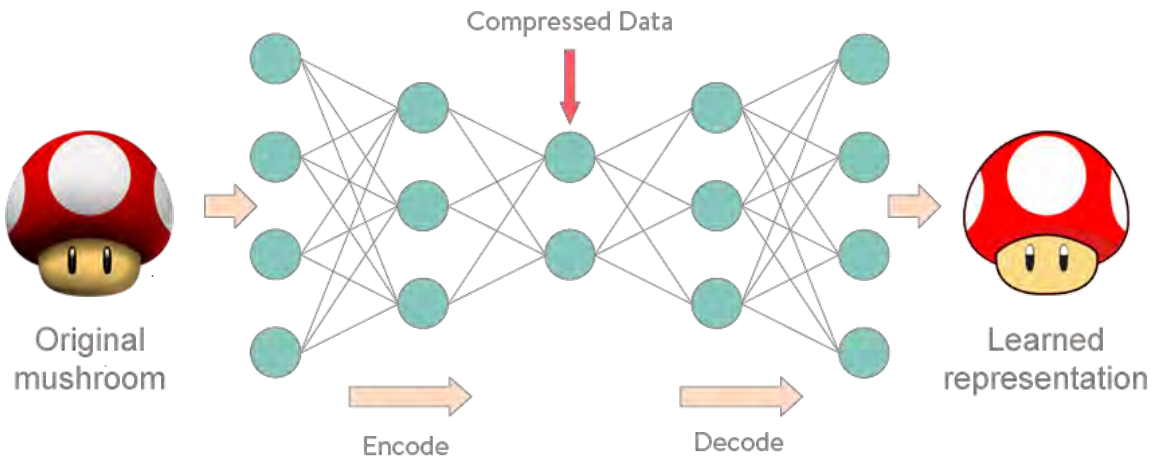
## Recurrent Neural Network (RNN)



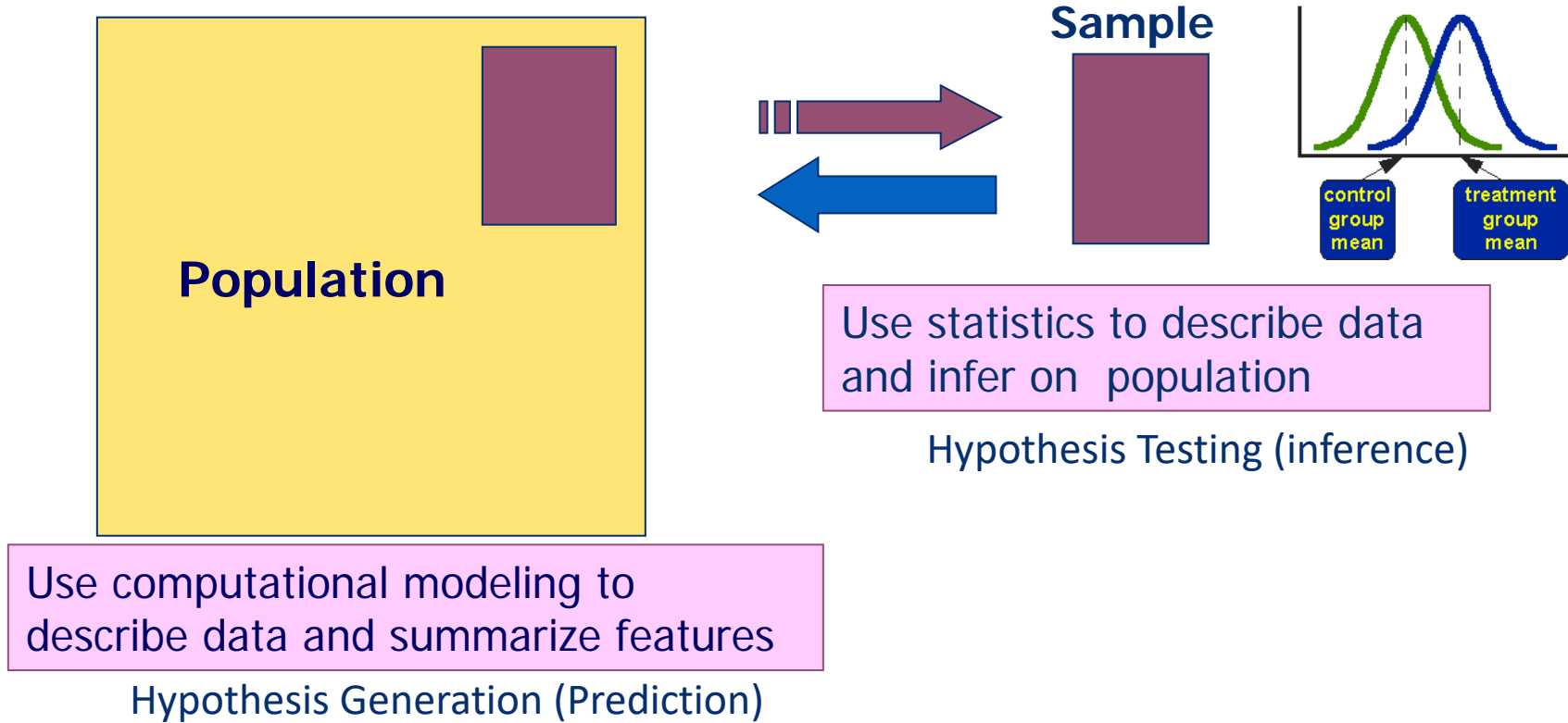
## Generative adversarial networks (GAN)



## Autoencoders (AE)



# Machine Learning vs. Epidemiology/Statistics

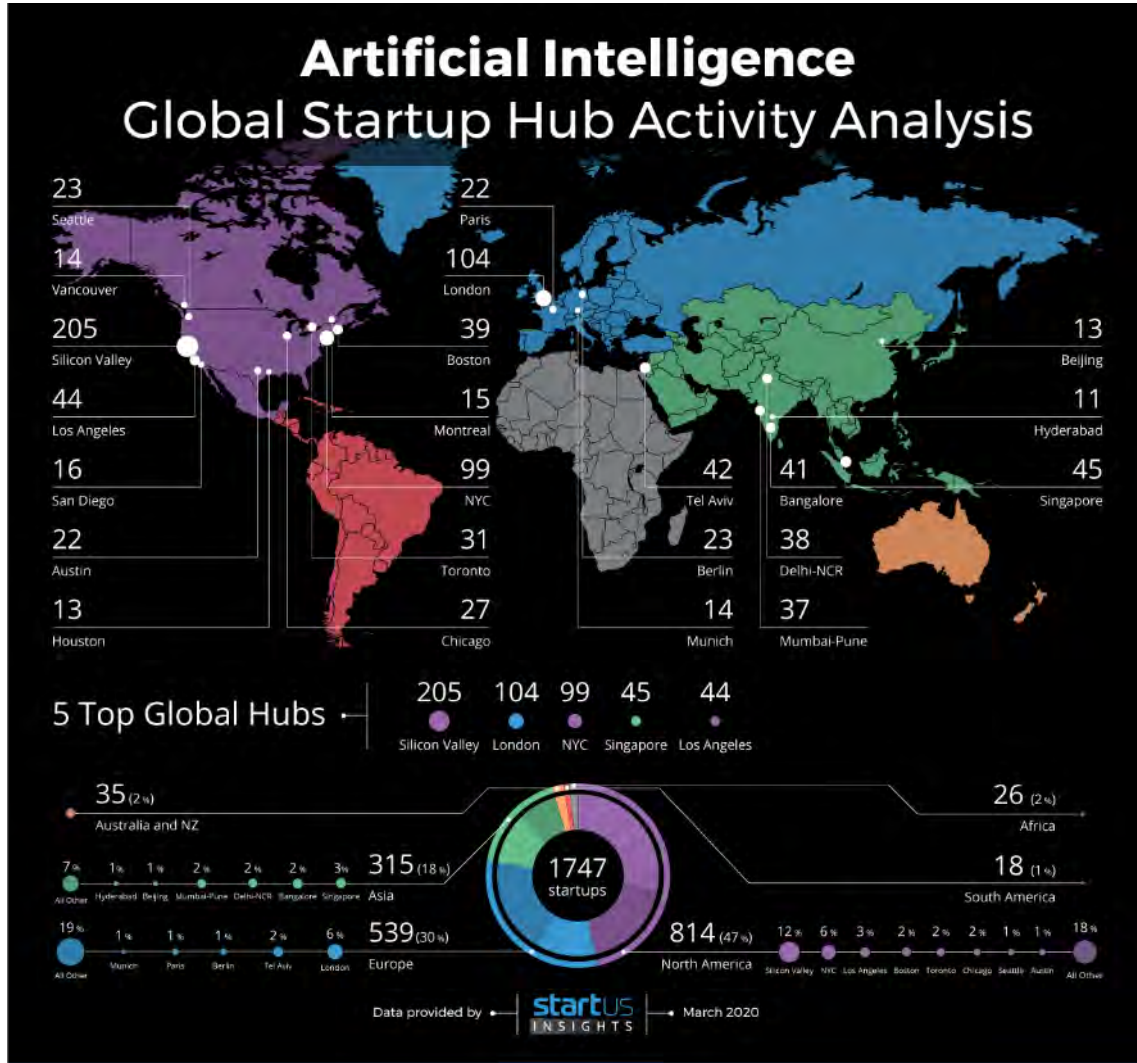


## REVIEW ARTICLES

### Prospects and Challenges for Clinical Decision Support in the Era of Big Data



# National and Global AI/ML interest



## National AI Initiative Act of 2020 (NAIIA)

Became law on January 1, 2021

As part of the "William M. (Mac) Thornberry National Defense Authorization Act for Fiscal Year 2021", H.R. 6395, Division E.

**DIVISION E—NATIONAL ARTIFICIAL INTELLIGENCE INITIATIVE ACT OF 2020**

SEC. 5001. SHORT TITLE.  
This division may be cited as the "National Artificial Intelligence Initiative Act of 2020".



- Microsoft
- Moffitt Cancer Center
- NASA
- National Center for Atmospheric Research
- National Center for Supercomputing Applications at the University of Illinois at Urbana-Champaign
- National Energy Technology Laboratory

<https://www.ai.gov/nairtf/86-fr-39081-responses/>



# Why AI/ML in Oncology?

The NEW ENGLAND JOURNAL of MEDICINE

REVIEW ARTICLE

FRONTIERS IN MEDICINE

## Machine Learning in Medicine

Alvin Rajkomar, M.D., Jeffrey Dean, Ph.D., and Isaac Kohane, M.D., Ph.D.

This framing emphasizes that machine learning is not just a new tool, such as a new drug or medical device. Rather, it is the fundamental technology required to meaningfully process data that exceed the capacity of the human brain to comprehend; increasingly, this overwhelming store of information pertains to both vast clinical databases and even the data generated regarding a single patient.<sup>7</sup>

Nearly 50 years ago, a Special Article in the *Journal* stated that computing would be “augmenting and, in some cases, largely replacing the intellectual functions of the physician.”<sup>8</sup> Yet, in early 2019, surprisingly little in health care is driven by machine learning. Rather than report the myriad proof-of-concept models (of retrospective data) that have been tested, here we describe the core structural changes and paradigm shifts in the health care system that are necessary to enable the full promise of machine learning in medicine (see video).

### Artificial intelligence in cancer research, diagnosis and therapy

Olivier Elemento, Christina Leslie, Johan Lundin & Georgia Tourassi

*Nature Reviews Cancer* 21, 747–752 (2021) | Cite this article

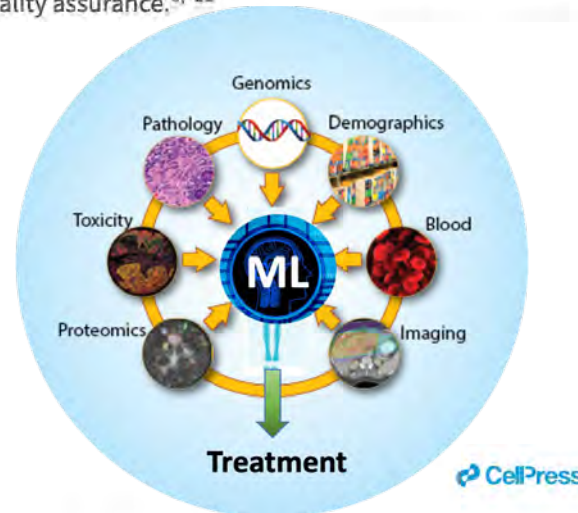
Artificial intelligence and machine learning techniques are breaking into biomedical research and health care, which importantly includes cancer research and oncology, where the potential applications are vast. These include detection and diagnosis of cancer, subtype classification, optimization of cancer treatment and identification of new therapeutic targets in drug discovery. While big data used to train machine learning models may already exist, leveraging this opportunity to realize the full promise of artificial intelligence in both the cancer research space and the clinical space will first require significant obstacles to be surmounted. In this Viewpoint article, we asked four experts for their opinions on how we can begin to implement artificial intelligence while ensuring standards are maintained so as to transform cancer diagnosis and the prognosis and treatment of patients with cancer and to drive biological discovery.

The *Lancet* Commission on cancer and health systems: harnessing synergies to achieve solutions

Felicia Marie Knaul, Patricia J Garcia, Mary Gospodarowicz, Beverley M Essue, Naomi Lee, Richard Horton

Published: August 19, 2021 | DOI: [https://doi.org/10.1016/S0140-6736\(21\)01895-X](https://doi.org/10.1016/S0140-6736(21)01895-X) | Check for updates

The data science revolution makes it affordable to develop, digitalise, synthesise, analyse, store, and share vast quantities of information that anchor machine learning. Additionally, artificial intelligence could improve health-care quality and efficiency in all resource settings, alleviating workforce and equipment shortages, and facilitating clinical decision support tools and remote technical and quality assurance.<sup>6, 21</sup>



Cell

Leading Edge

Commentary

### Precision medicine in 2030—seven ways to transform healthcare

Joshua C. Denny<sup>1,2,\*</sup> and Francis S. Collins<sup>2</sup>  
<sup>1</sup>All of Us Research Program, National Institutes of Health, Bethesda, MD, USA  
<sup>2</sup>National Institutes of Health, Bethesda, MD, USA  
<sup>3</sup>Present address: Bldg. 1 Room 228, 1 Center Drive, Bethesda, MD 20814, USA  
\*Correspondence: [joshua.denny@nih.gov](mailto:joshua.denny@nih.gov)  
<https://doi.org/10.1016/j.cell.2021.01.015>

Precision medicine promises improved health by accounting for individual variability in genes, environment, and lifestyle. Precision medicine will continue to transform healthcare in the coming decade as it expands in key areas: huge cohorts, artificial intelligence (AI), routine clinical genomics, phenomics and environment, and returning value across diverse populations.

## Progress in the Application of Machine Learning Algorithms to Cancer Research and Care

Neal J. Meropol, MD<sup>1</sup>; Janet Donegan, BSN, MA<sup>1</sup>; Alexander S. Rich, PhD<sup>1</sup>

» Author Affiliations | Article Information

*JAMA Netw Open.* 2021;4(7):e2116063. doi:10.1001/jamanetworkopen.2021.16063

The application of artificial intelligence in medical care has lagged behind its use in finance, advertising, and other consumer industries. This contrast is associated, in part, with the high stakes involved in developing tools that will ultimately affect patients. Given the expanding evidence gaps in oncology and the growing complexity of medical decisions, the imperative to apply available technologies has never been greater. In this context, careful consideration must be given to model development and scientific validation.<sup>5,6</sup> Large-scale appropriate training data and rigorous downstream validation, with transparency to permit reproducibility, may provide researchers the ability to use machine-based variables in appropriate clinical settings. In addition, explainability of model features may also be required if broad adoption by nontechnical clinical users is expected. The true promise of machine-based approaches is in enabling a learning health care system in which patient data are used for research and clinical applications and evolving care patterns and outcomes measurements are incorporated in a continuous feedback loop.<sup>7</sup> Success demands a broad recognition of the importance of high-quality data collection, data standards, and the benefits of data sharing for patients and public health.

### BJR 125TH ANNIVERSARY SPECIAL FEATURE: REVIEW ARTICLE

## Artificial Intelligence: reshaping the practice of radiological sciences in the 21st century

<sup>1</sup>ISSAM EL NAQA, PhD, <sup>2</sup>MASOOM A HAIDER, MD, <sup>3</sup>MARYELLEN L GIGER, PhD and <sup>4</sup>RANDALL K TEN HAKEN, PhD  
Perspective | Published: 17 May 2018

OPINION

### Artificial intelligence in radiology

Ahmed Hosny, Chintan Parmar, John Quackenbush, Lawrence H. Schwartz & Hugo J. W. L. Aerts

*Nature Reviews Cancer* 18, 500–510 (2018) | Cite this article

### Non-invasive decision support for NSCLC treatment using PET/CT radiomics

Wei Mu, Lei Jiang, JianYuan Zhang, Yu Shi, Jhanelle E. Gray, Ilke Tunali, Chao Gao, Yingying Sun, Jie Tian, Xinming Zhao, Xilin Sun, Robert J. Gillies & Matthew B. Schabath

*Nature Communications* 11, Article number: 5228 (2020) | Cite this article

### Personalized vaccines for cancer immunotherapy

USUR-SAHIN & WARD-COULTAS-TURELI

# Some Deep/Machine Learning medical applications



## Applications of machine learning in drug discovery and development

Jessica Vamathevan ✉, Dominic Clark, Paul Czodrowski, Ian Dunham, Edgardo Ferran, George Lee, Bin Li, Anant Madabhushi, Parantu Shah, Michaela Spitzer & Shanrong Zhao

*Nature Reviews Drug Discovery* 18, 463–477(2019) | Cite this article

BRIEF COMMUNICATION

<https://doi.org/10.1038/s41587-019-0224-x>

nature  
biotechnology

## Deep learning enables rapid identification of potent DDR1 kinase inhibitors

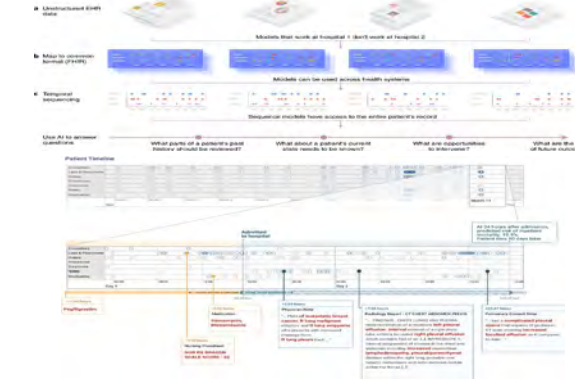
## Highly accurate protein structure prediction with AlphaFold

John Jumper ✉, Richard Evans, ... Demis Hassabis ✉ + Show authors

*Nature* 596, 583–589 (2021) | Cite this article

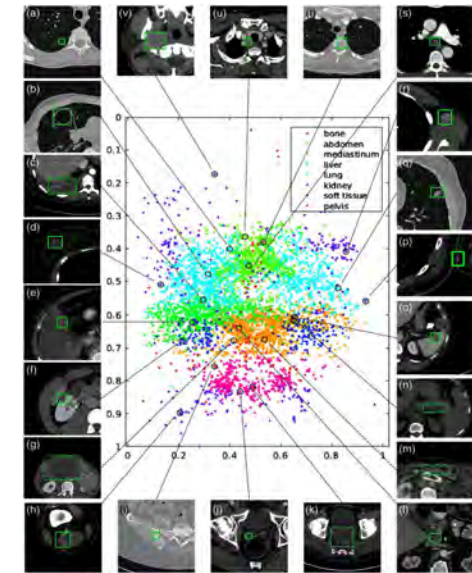
643k Accesses | 1590 Citations | 3071 Altmetric | Metrics

## Unlocking the *blackhole* of Electronic health records



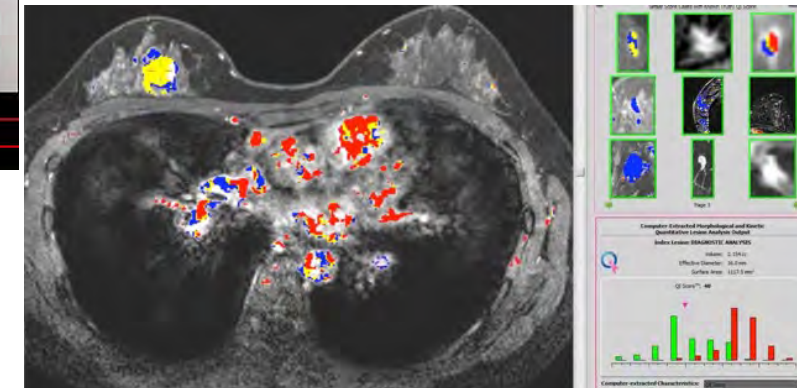
Digital medicine, 2018  
Virtual Counseling

## Annotation of radiological images

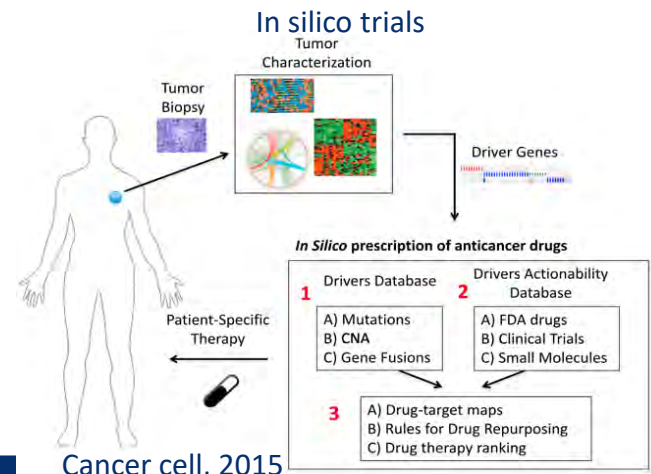
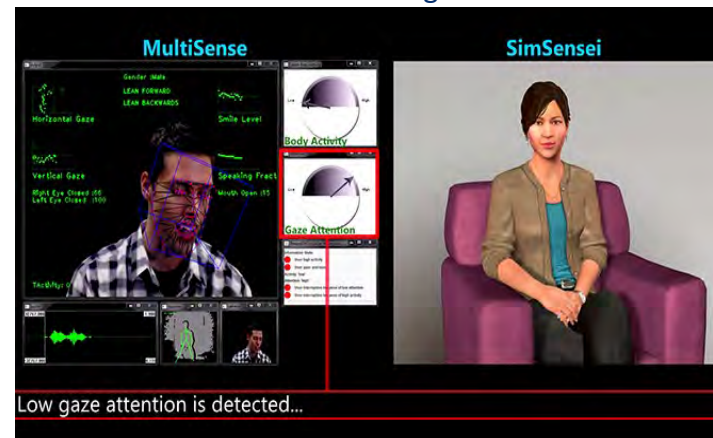


Yan, JMI, 2018

## QuantX



USC, 2018



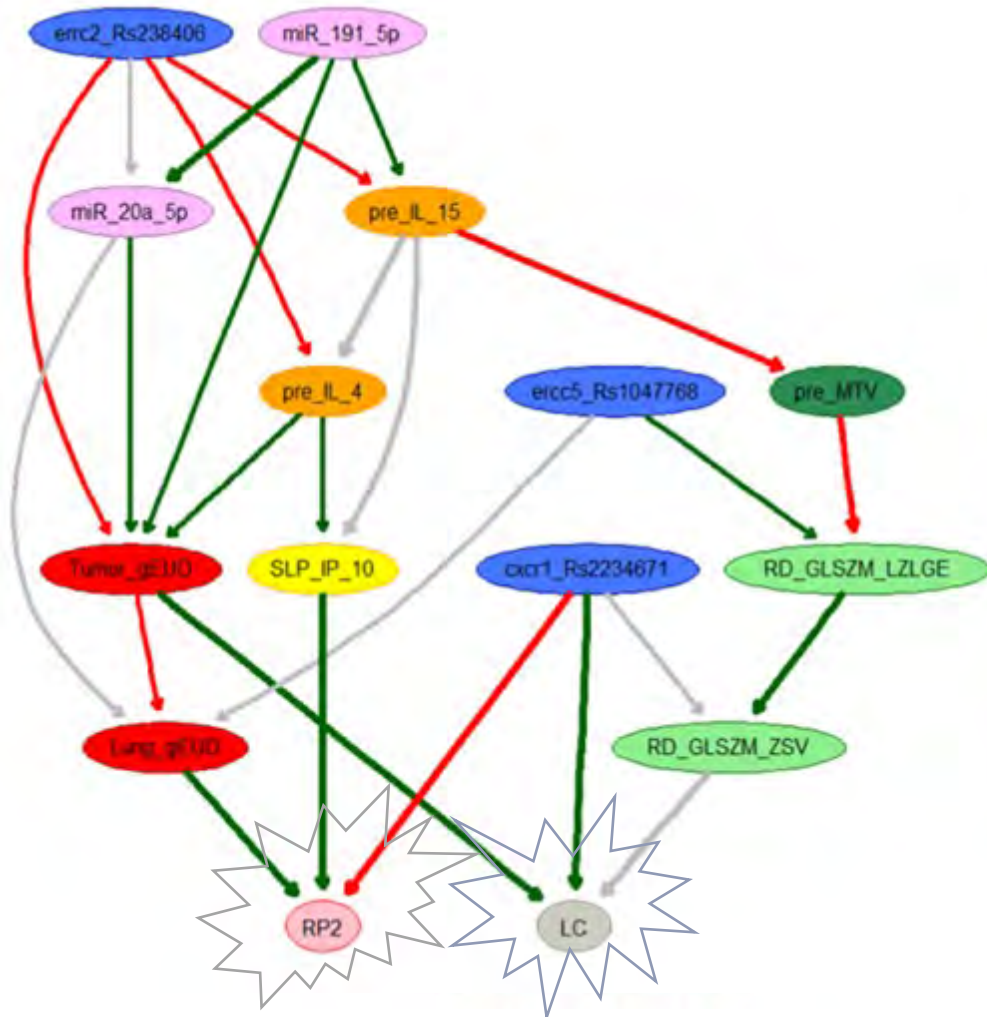
Cancer cell, 2015



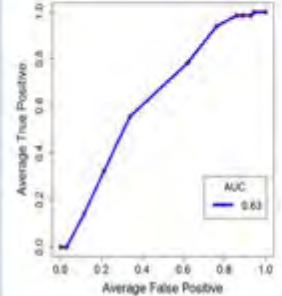
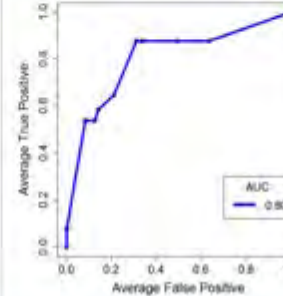
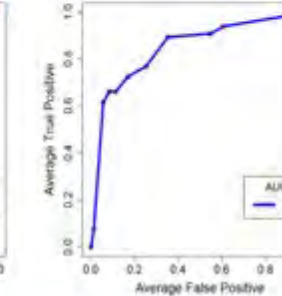


# Multi-Objective Generative Models

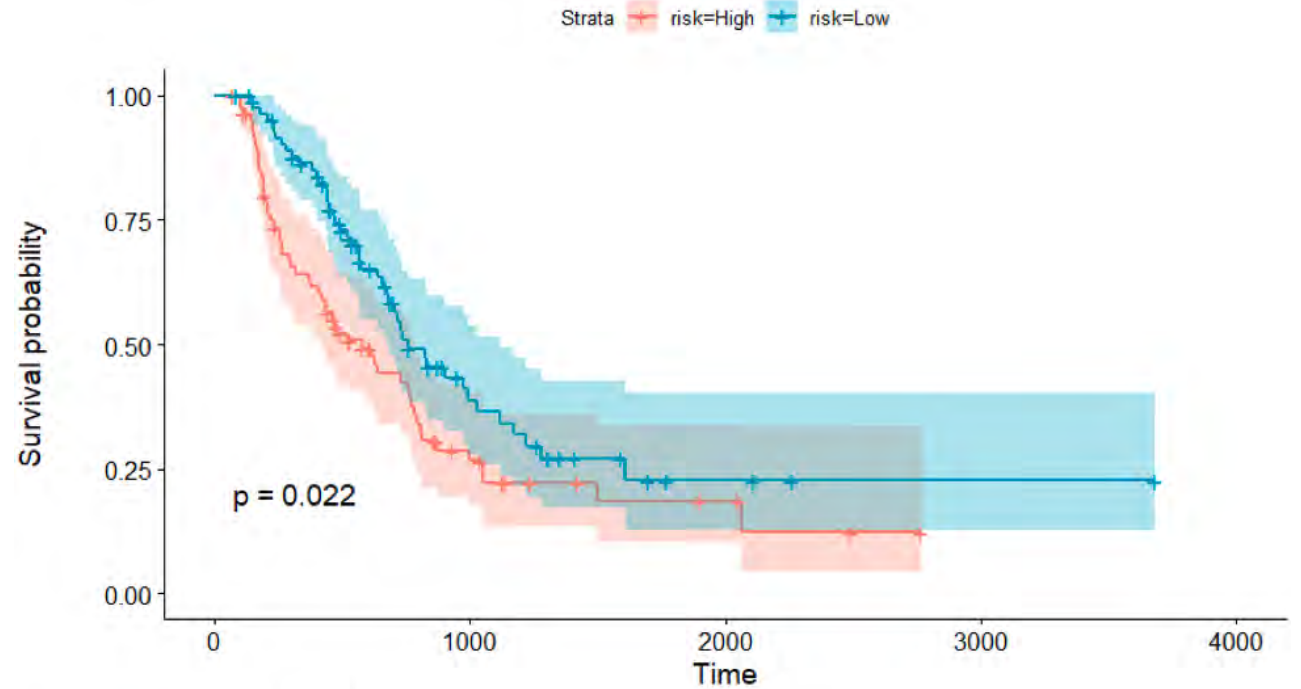
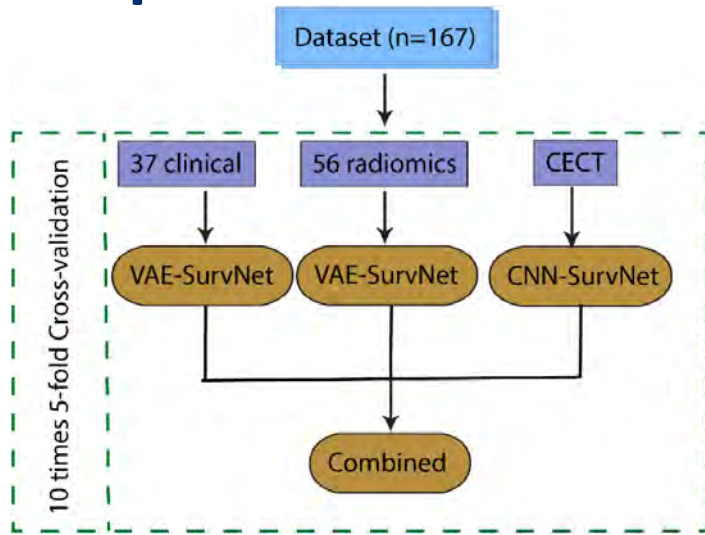
A MO-BN can be used to predict multiple radiation outcomes simultaneously, which provides opportunities of finding appropriate treatment plans to solve the trade-off between competing risks.



| Legend   |                                  |
|--|----------------------------------|
| <span style="background-color: orange; border: 1px solid black; display: inline-block; width: 10px; height: 10px;"></span>     | Pre-treatment Cytokines          |
| <span style="background-color: yellow; border: 1px solid black; display: inline-block; width: 10px; height: 10px;"></span>     | During-treatment Cytokines       |
| <span style="background-color: blue; border: 1px solid black; display: inline-block; width: 10px; height: 10px;"></span>       | SNPs                             |
| <span style="background-color: pink; border: 1px solid black; display: inline-block; width: 10px; height: 10px;"></span>       | microRNAs                        |
| <span style="background-color: red; border: 1px solid black; display: inline-block; width: 10px; height: 10px;"></span>        | Dosimetry                        |
| <span style="background-color: green; border: 1px solid black; display: inline-block; width: 10px; height: 10px;"></span>      | Pre-treatment Pet Information    |
| <span style="background-color: lightgreen; border: 1px solid black; display: inline-block; width: 10px; height: 10px;"></span> | During-treatment Pet Information |
| <span style="color: black;">→</span>   | Positive Association             |
| <span style="color: red;">→</span>   | Negative Association             |
| <span style="color: grey;">→</span>  | Mixed Association                |

|         | Lung_gEUD and Tumor_gEUD   | Pre-Treatment BN for joint prediction of LC and RP2                                  | During-Treatment BN for joint prediction of LC and RP2                               |
|---------|--|--|--|
| AU-FROC | 0.63   | 0.8  | 0.85   |
| 95% CI  | 0.53-0.77  | 0.66-0.85  | 0.71-0.89  |
| FROC    |  |  |  |

# Radiomics model for Liver Cancer by Deep Survival



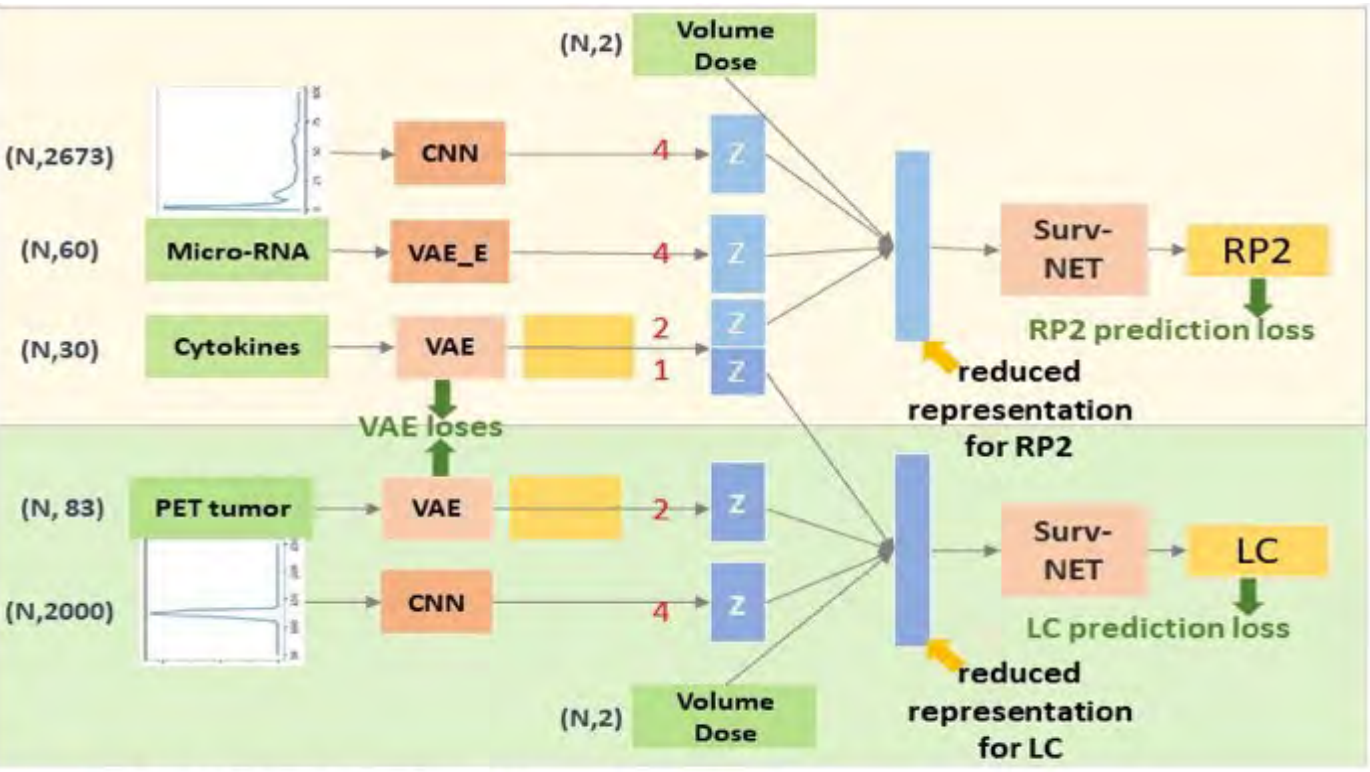
Number at risk

| Strata    | 0  | 1000 | 2000 | 3000 | 4000 |
|-----------|----|------|------|------|------|
| risk=High | 83 | 14   | 4    | 0    | 0    |
| risk=Low  | 84 | 17   | 3    | 1    | 0    |



Wei, Physica Medica, 2021

# Multi-objective multi-omics model with deep survival neural networks



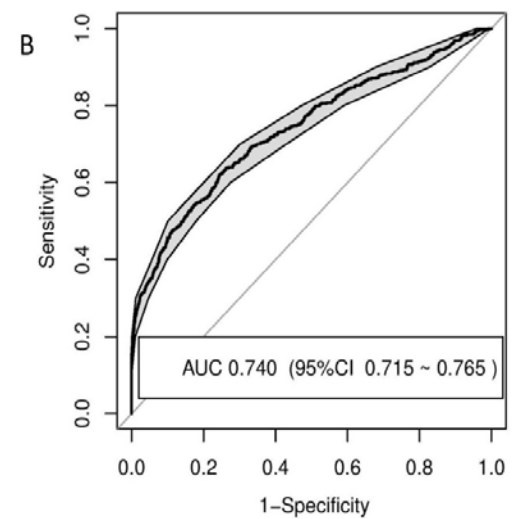
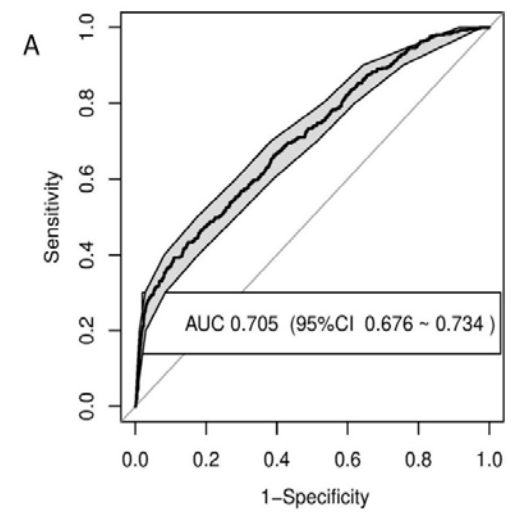
Pre-trained Architectures    N: sample size  
 Architectures                    y: dimension of reduced presentation  
 Intermediate layer  
 Inputs  
 outputs    Total loss=VAE losses +RP2 prediction loss + LC prediction loss

20 times of 5-fold cross validations

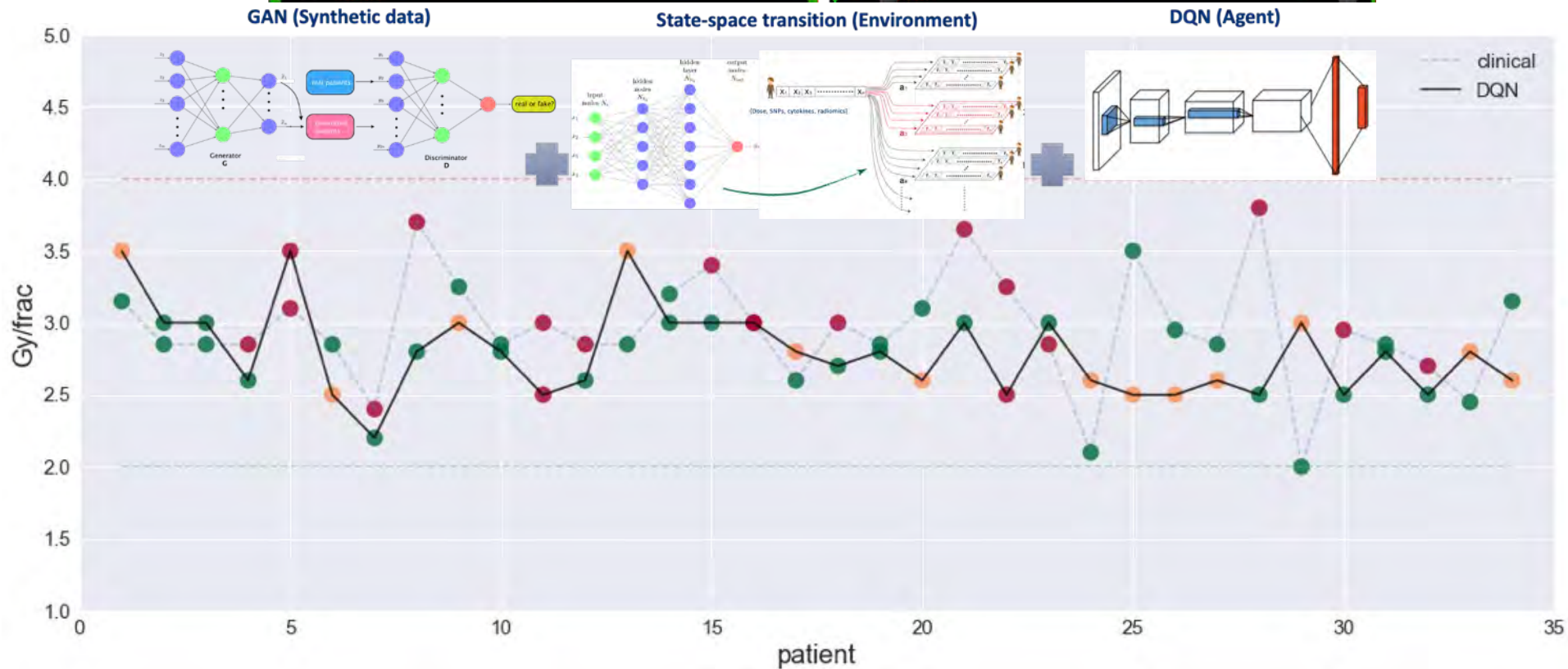
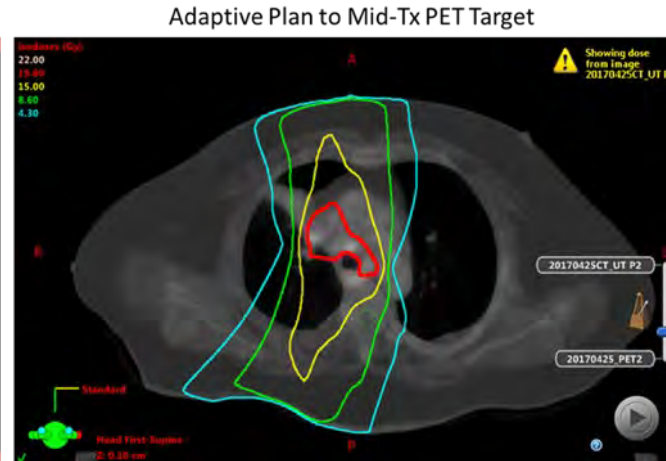
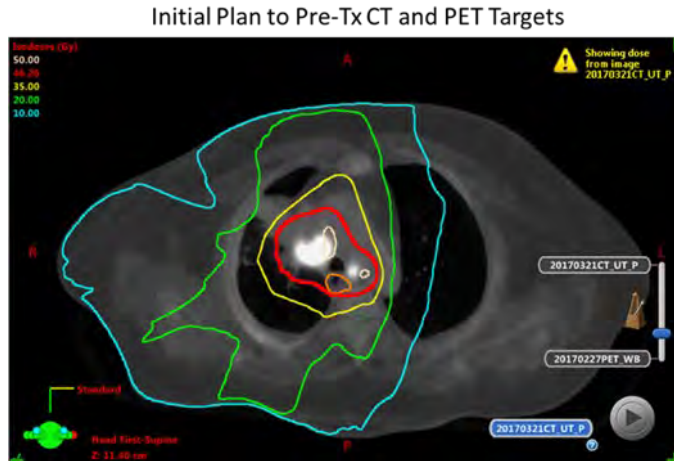
| C-index (95%CI)           | RP2                 | LC                  |
|---------------------------|---------------------|---------------------|
| <b>NN-com</b>             | 0.705 (0.676~0.734) | 0.740 (0.715~0.765) |
| <b>NN-DVH</b>             | 0.660 (0.630~0.690) | 0.727 (0.700~0.753) |
| <b>Lyman/log-logistic</b> | 0.613 (0.583~0.643) | 0.569 (0.545~0.594) |

Independent test on 25 newly treated patients

| C-index (95%CI)           | RP2   | LC    |
|---------------------------|-------|-------|
| <b>NN-composite</b>       | 0.692 | 0.721 |
| <b>NN-DVH</b>             | 0.684 | 0.706 |
| <b>Lyman/log-logistic</b> | 0.588 | 0.573 |

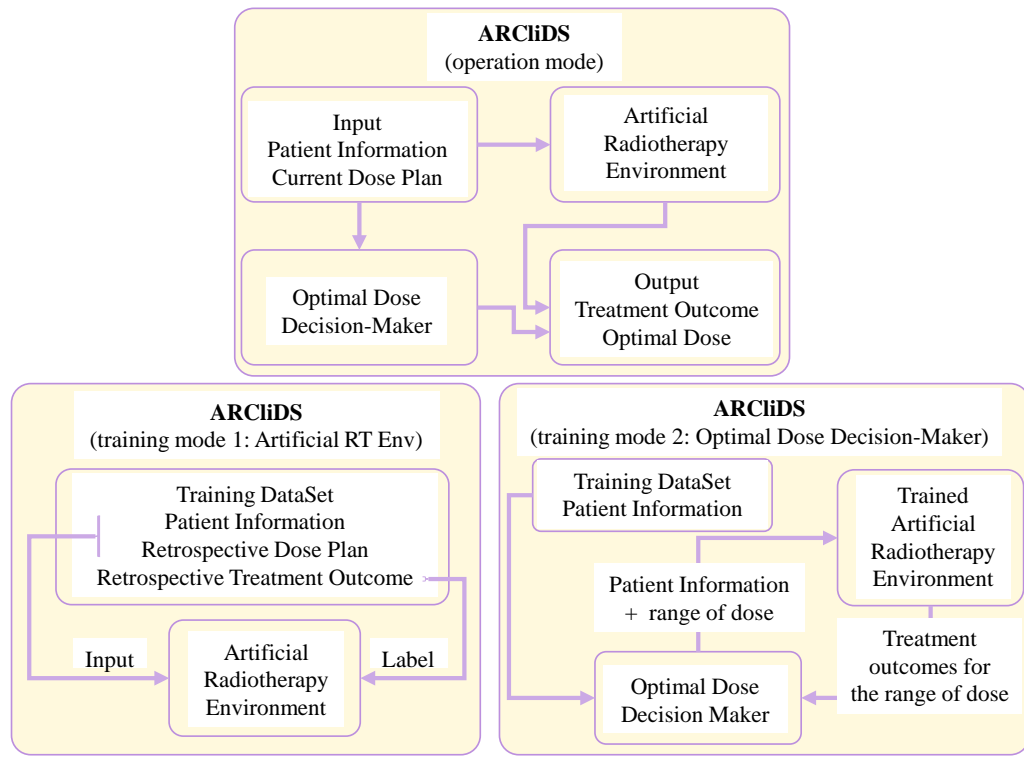


# Adaptive Radiation Oncology Decision Making with Deep Learning





# Software tools for Adaptive Radiotherapy Clinical Decision Support (ARClIDS)



The screenshot shows the ARClIDS software interface with the following components:

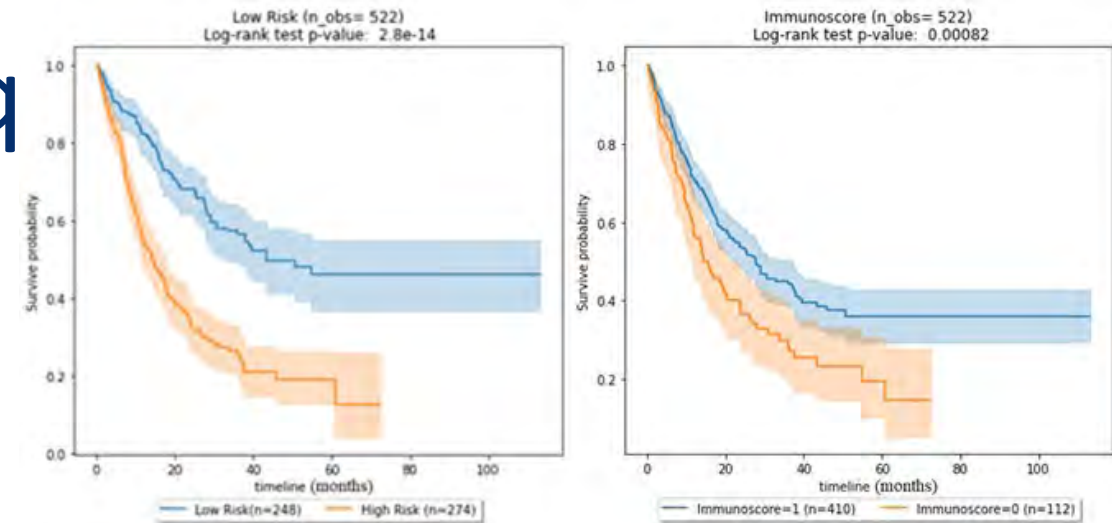
- Navigation Menu:** Analytics, Data Collection, Documentation, Task Log.
- AI Recommendation and Outcome Prediction:** A heatmap plot showing 'RP2 Probability' (y-axis, 0 to 1) versus 'LC Probability' (x-axis, 0 to 1). A color scale for 'Dose [Gy/fxn]' ranges from 1.5 (red) to 1 (green). A recommendation for 'Week 5 & 6: 2 Gy/fxn ( 1.9 Gy/fxn - 2.2 Gy/fxn)' is shown.
- Patient's Week 4 Information:** A form for 'Upload CSV File' with an 'Upload' button. Below are input fields for various biomarkers:
 

|                       |                      |
|-----------------------|----------------------|
| IL4 [pg/ml]           | IL10 [pg/ml]         |
| 10                    | 10                   |
| IL15 [pg/ml]          | IP10 [pg/ml]         |
| 44                    | 579                  |
| MTV [cc]              | GLSZM_LZLGE [UNITS]  |
| 10                    | 613                  |
| GLRLM_ZSV             | Tumor gEUD [Gy]      |
| 0.001                 | 55                   |
| Lung gEUD [Gy]        | Cxcr1_Rs2234671      |
| 13                    | 2                    |
| Ercc2_Rs2384606       | Ercc5_Rs1047768      |
| 1                     | 1                    |
| Week2 Tumor gEUD [Gy] | Week2 Lung gEUD [Gy] |
| 25                    | 5                    |
| Week 0-2 DoseFxn      |                      |
| 2                     |                      |
- Patient State Prediction:** A grid of 12 density plots for various biomarkers. Each plot compares 'week 4 Selected' (orange dots) and 'week 6 pred AI' (green dots). The biomarkers are:
  - Tumor\_gEUD [Gy]
  - Lung\_gEUD [Gy]
  - IL4 [pg/ml]
  - IL10 [pg/ml]
  - IL15 [pg/ml]
  - IP10 [pg/ml]
  - MTV [cc]
  - GLSZM\_LZLGE [UNITS]
  - GLRLM\_ZSV
  - Cxcr1\_Rs2234671
  - Ercc2\_Rs2384606
  - Ercc5\_Rs1047768

# Deep learning for developing pan-cancer prognostic biomarkers for immunotherapy from RNAseq

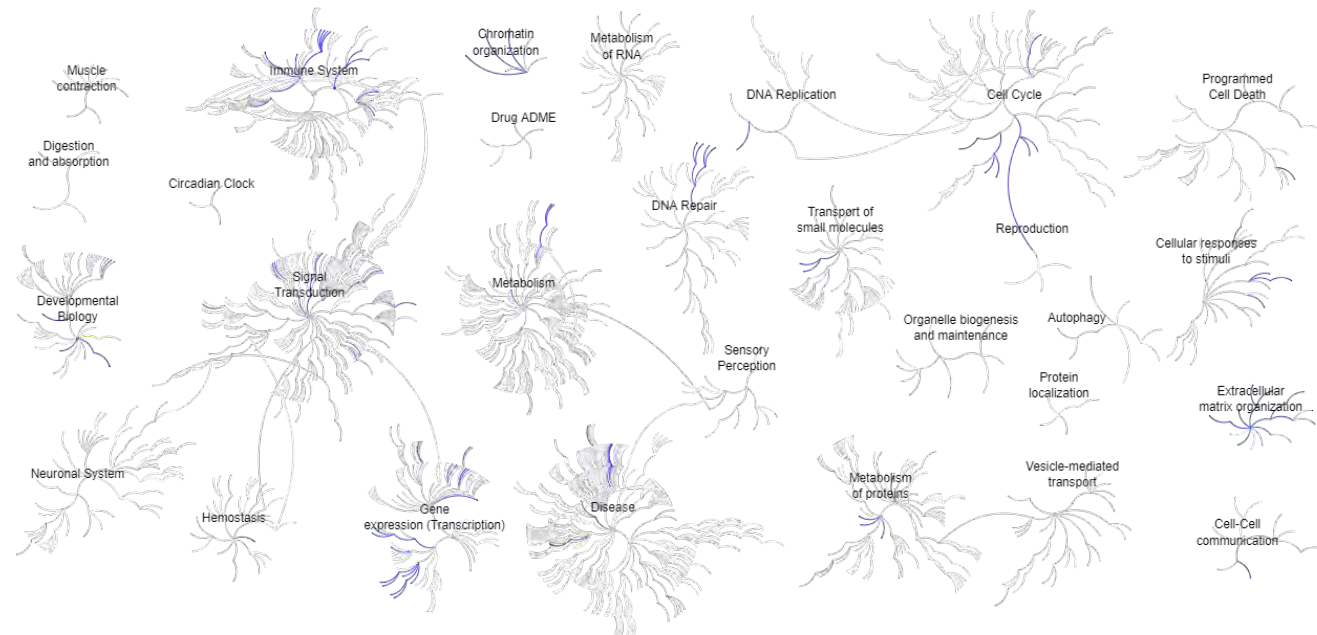


- Collaboration with the Oncology Research Information Exchange Network® (ORIEN)–18 centers
- Patients (n=522) with 4 primary cancer types
  - melanoma (n=125), renal cell carcinoma (n=149), non-small cell lung cancer (n=128) and head and neck cancer (n=120) treated with 6 immune checkpoint inhibitors
- Deep learning: Auto-Encoder Survival Deep Network (AE-SDN) architecture



AE Deep

Immuno-score



# AI/ML is nothing but perfect

- Google Flu Trends (GFT) ([Ginsberg, 2009](#))
  - GFT called out sick 2013 due to overestimation!
- Predicting pneumonia risk ([Caruana, 2015](#))
  - Patients with pneumonia and asthma to be at a lower risk of death from pneumonia than patients with pneumonia but without asthma!
- Skin cancer risk prediction ([Esteva, 2017](#))
  - Presence of a ruler as a sign of high risk would skew prediction
- Lung disease prediction from xray ([Rajpurkar, 2017](#))
  - Presence of tube can indicate high risk
- Covid-19 infection of AI ([Deshpande, 2020](#); [Roberts, 2021](#), [El Naqa, 2021](#))
  - Unreliable AI models for Covid-19 prediction

⇒ Data quality and context matters

COMPUTING

## Racial Bias Found in a Major Health Care Risk Algorithm

Black patients lose out on critical care when systems equate health needs with costs

By Starre Vartan on October 24, 2019

### Man is to Computer Programmer as Woman is to Homemaker? Debiasing Word Embeddings

Amazon scraps secret AI recruiting tool that showed bias against women

### Study finds gender and skin-type bias in commercial artificial-intelligence systems

Examination of facial-analysis software shows error rate of 0.8 percent for light-skinned men, 34.7 percent for dark-skinned women.

### External Validation of a Widely Implemented Proprietary Sepsis Prediction Model in Hospitalized Patients

Andrew Wong, MD<sup>1</sup>; Erkin Otles, MEng<sup>2,3</sup>; John P. Donnelly, PhD<sup>4</sup>; et al

### EPIC's Sepsis Model Is Not Ready for Prime Time

Aaron J. Calderon, MD, FACP, SFHM, reviewing Wong A et al. JAMA Intern Med 2021 Aug

Despite its widespread use, the proprietary electronic health record system missed sepsis 67% of the time.

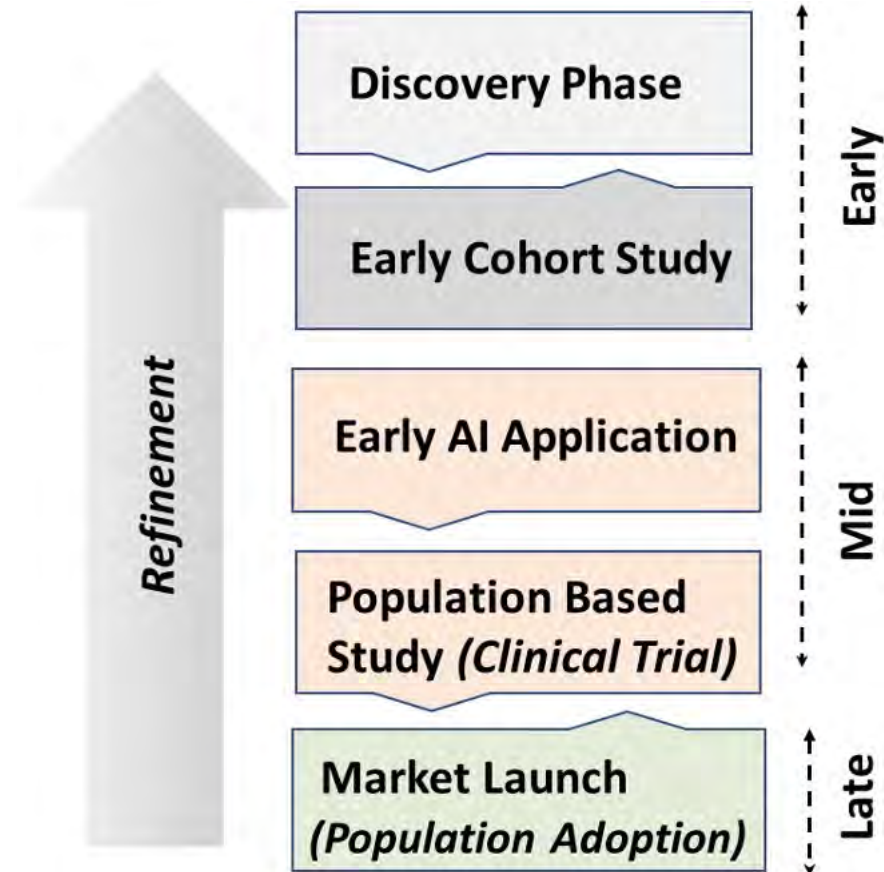
## Requirements and reliability of AI in the medical context

Yoganand Balagurunathan<sup>a</sup>, Ross Mitchell<sup>a,b</sup>, Issam El Naqa<sup>a,\*</sup>

<sup>a</sup> Department of Machine Learning, H. Lee. Moffitt Cancer Center, Tampa, FL, USA

<sup>b</sup> Health Data Services, H. Lee. Moffitt Cancer Center, Tampa, FL, USA

- **Diverse cohort** of patient records for model training, achieved either through centralized or using federated/distributed learning models that uses silos of different data sources.
- Use of **independent data** cohort for testing, preferably in a distributed setting with diverse patient types.
- **Transparency** of deep network model architecture with confidence levels in its decisions.
- **Ethically** appropriate use of AI methods with some level of oversight.
- Assessment of **reproducibility** of AI models with test–retest type studies.
- Model transparent that discloses the architecture, data sets and trained weights for the network.
- **Quality assurance** program for implementation and continuous performance monitoring.





## Data modeling

- Availability and sharing
- Ethics and compliance

## Algorithmic modeling

- Models' validation
- Models' interpretability

## MEDICAL PHYSICS

The International Journal of Medical Physics Research and Practice

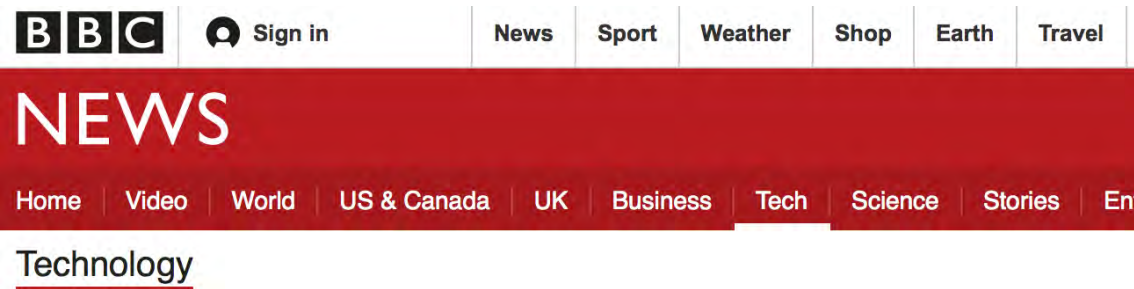
Special Issue Paper |  [Free Access](#)

### Machine learning and modeling: Data, validation, communication challenges

Issam El Naqa , Dan Ruan, Gilmer Valdes, Andre Dekker, Todd McNutt, Yaorong Ge, Q. Jackie Wu, Jung Hun Oh, Maria Thor, Wade Smith, Arvind Rao, Clifton Fuller, Ying Xiao, Frank Manion, Matthew Schipper, Charles Mayo, Jean M. Moran, Randall Ten Haken

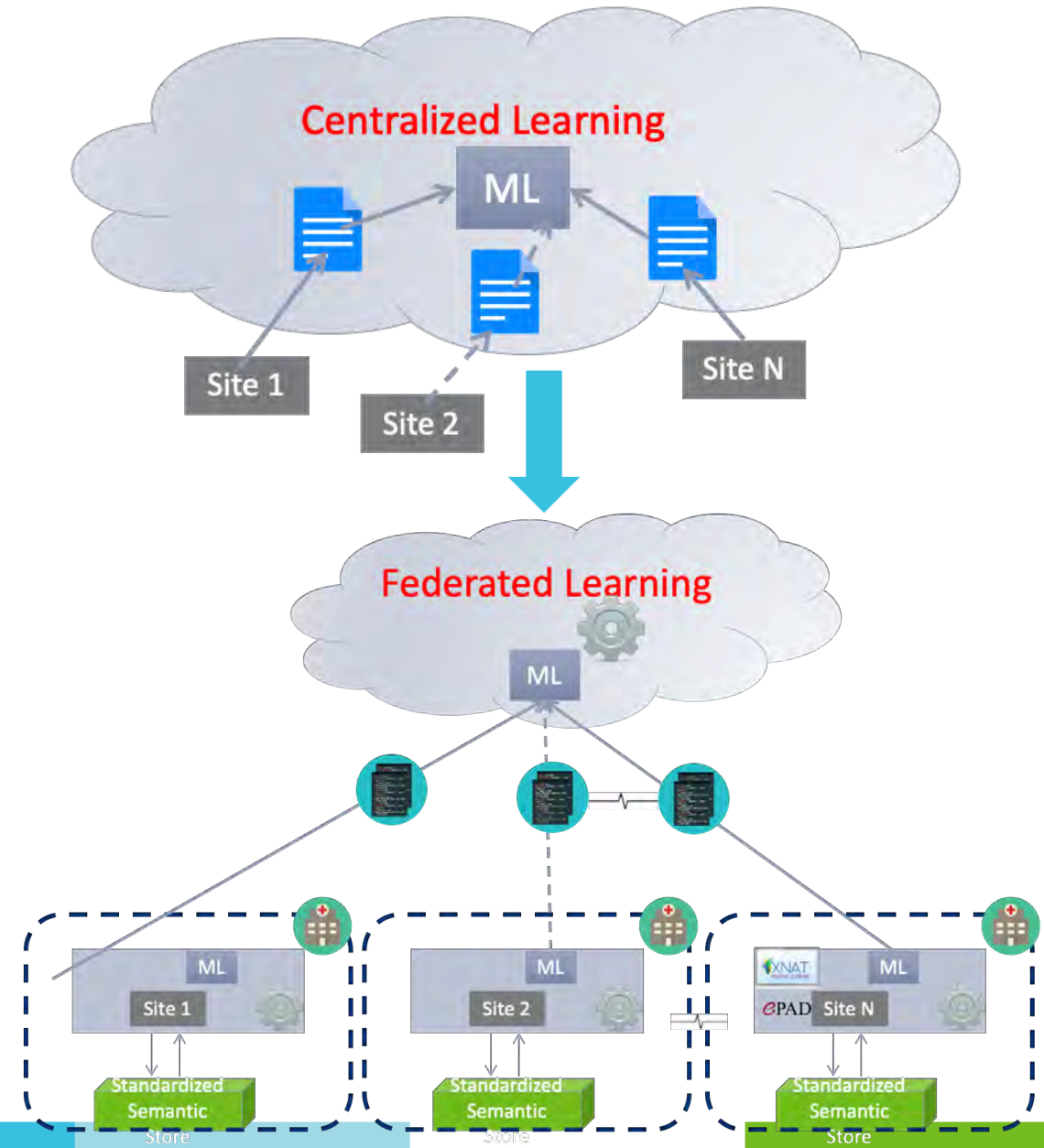
First published: 24 August 2018 | <https://doi.org/10.1002/mp.12811>

# Ethical Challenge of Data Access



The company, [Paige.AI](#), is one in a burgeoning field of start-ups that are applying artificial intelligence to health care, yet it has an advantage over many competitors: The company [has an exclusive deal to use the cancer center's vast archive](#) of 25 million patient tissue slides, along with decades of work by its world-renowned pathologists.

Jochems, IJROBP, 2017



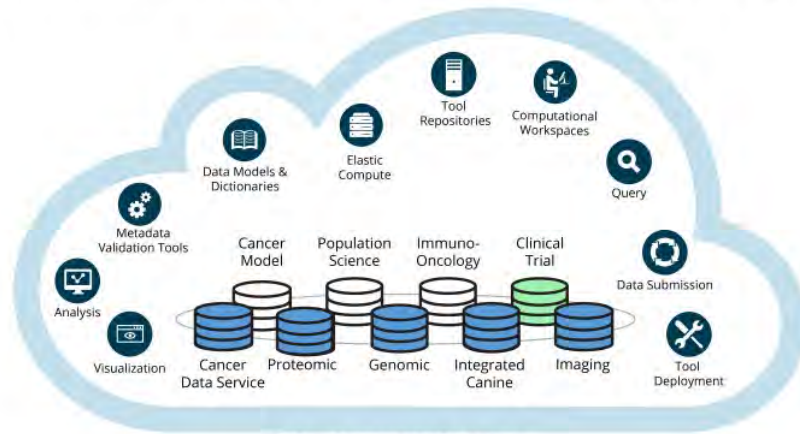
# Data Democratization!



# MIDRC

MEDICAL IMAGING AND DATA RESOURCE CENTER.

## NCI Cancer Research Data Commons (CRDC)



### Authentication & Authorization



### Legend

- Available to researchers
- Development
- Future nodes

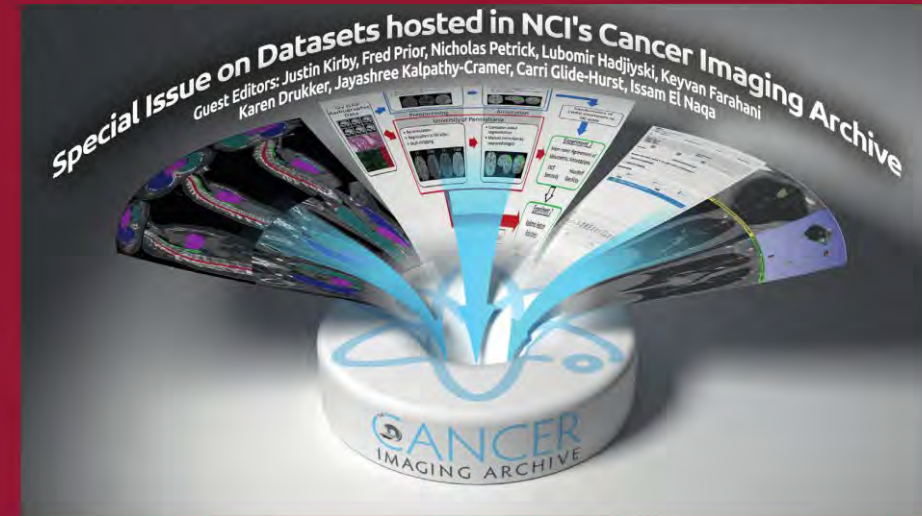


### Data Contributors & Consumers



# MEDICAL PHYSICS

The International Journal of Medical Physics Research and Practice



Collage of illustrations from papers from the Special Issue on Datasets hosted in The Cancer Imaging Archive (TCIA). Thanks to Jeff Tobler, University of Arkansas, for creating this collage.

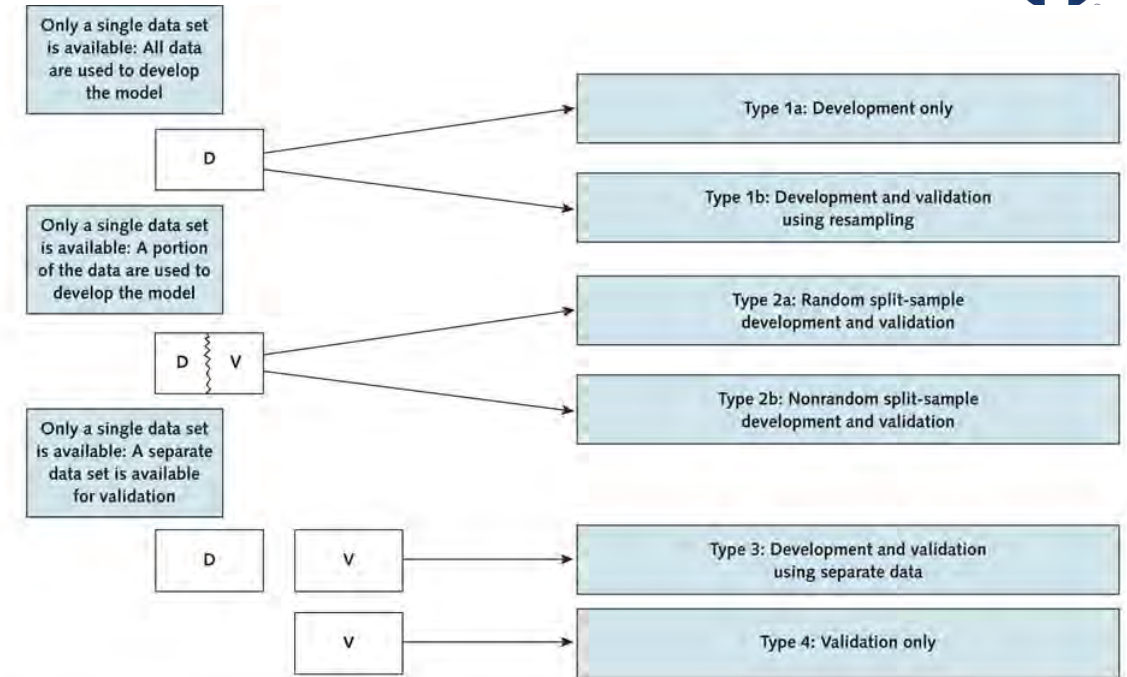
Medical Physics is an official journal of the AAPM, the International Organization for Medical Physics (IOMP), and the Canadian Organization of Medical Physicists (COMP).

# AI/ML Validation

## Depending on the level of evidence

- Selection appropriate learning algorithms
- Validation and evaluation (**TRIPOD criteria**)
  - Internally (cross-validation schemes)
  - Externally (independent datasets)
- Provide **interpretation** of machine learning prediction

## Transparent Reporting of a multivariable prediction model for Individual Prognosis Or Diagnosis (TRIPOD)



## Radiology: Artificial Intelligence

### Minimum information about clinical artificial intelligence modeling: the MI-CLAIM checklist

Beau Norgeot, Giorgio Quer, Brett K. Beaulieu-Jones, Ali Torkamani, Raquel Dias, Milena Gianfrancesco, Rima Arnaout, Isaac S. Kohane, Suchi Saria, Eric Topol, Ziad Obermeyer, Bin Yu & Atul J. Butte

| Analysis Type | Description   |
|---------------|---|
| Type 1a       | Development of a prediction model                                   |
| Type 1b       | Development of a prediction model with internal validation          |
| Type 2a       | The data are randomly split into development and validation sets    |
| Type 2b       | The data are nonrandomly split into development and validation sets |
| Type 3        | Development of a prediction model with external validation          |
| Type 4        | The evaluation of the prediction model                              |

Types 3 and 4 are commonly referred to as internal and external validation.

**A**

same data (apparent performance), or cross-validation) techniques to avoid overfitting as "internal validation", are not sufficient to evaluate predictive performance. This design is prone to overfitting and lack of power during model development.

**B**

20 times stratified 5-fold CVs (TRIPOD level 2 type a) development of the following models on institutional 117 patients

External validation (TRIPOD level 3) on institutional 25 patients  
Independent test (TRIPOD level 2 type b) on institutional 25 patients

and one to evaluate its predictive performance on nonrandom variation between the data sets (e.g., from a different study).



# Check List for AI/ML in Medical Physics (CLAMP)

- Purpose and justification of AI/ML algorithm selection
- Dataset characteristics (acquisition, size, partitioning [3Ts: training, tuning, testing])
- ML methods
  - Optimization, loss function, augmentation, regularization
  - Performance metrics and evaluations (internal, external)
- Significance of results
  - Interpretation of ML performance
  - Clinical translation and actionability

TABLE 1 Checklist for AI in *Medical Physics* (CLAMP)

| Indicate whether each section clearly summarizes or describes:   | Checkboxes |    |     |
|--|------------|----|-----|
|  | Yes        | No | N/A |
| <b>1. Abstract</b>   |            |    |     |
| a. Purpose, rationale, novelty or significance   |            |    |     |
| b. AI/ML methods and data type, dataset partitioning into training, validation (tuning), and test sets (include numbers used in training, validation, and test sets)   |            |    |     |
| c. Main results, including statistical analyses  |            |    |     |
| <b>2. Introduction</b>   |            |    |     |
| a. Purpose and justification of using AI/ML algorithm approach   |            |    |     |
| b. Contribution(s) of AI/ML to medical physics application   |            |    |     |
| c. Stage of development (e.g., pilot study, mature study)  |            |    |     |
| <b>3. Materials</b>  |            |    |     |
| a. Dataset characteristics including sample size and clinical acquisition sites  |            |    |     |
| b. Device(s) used for data acquisition (e.g., scanner makes), start-end dates of acquisition (or equivalent means with biotechnology generated data), and any data harmonization, augmentation, and enrichment strategies, or pre-processing are clearly described         |            |    |     |
| c. For imaging data: image or data acquisition modality, acquisition protocol, or parameter ranges are detailed  |            |    |     |
| d. For patient data: method to obtain the sample, representativeness of the population for the purpose of the study, IRB approval (or equivalent), and relevant patient demographics plus clinical variables such as prevalence(s) of disease(s) or lesion characteristics |            |    |     |
| e. For phantom data: Type of phantom and method for generating phantom data  |            |    |     |
| f. Data composition appropriateness for AI/ML application  |            |    |     |
| g. Description of the "ground truth," that is, the reference standard, including the annotation process, level of subjectivity, and uncertainty  |            |    |     |
| h. Data partitioning into training, validation (tuning), and test sets including any criteria to mitigate bias and justification of sample sizes   |            |    |     |
| i. Final validation using public dataset or study dataset to be shared/made publicly available (desirable but not required).   |            |    |     |
| <b>4.1 Methods: Machine learning algorithm</b>   |            |    |     |
| a. Methodology in sufficient detail to allow replication, including model architecture, hyperparameters, inputs, dimensionality of the input (e.g., 2D or 3D images), pre-processing, output type and definition, and discretization/binning, if any.                      |            |    |     |
| b. Training/optimization method including loss function, regularization approach, data imbalance mitigation process (if needed), measures to minimize overfitting and bias, and ablation studies, if any.  |            |    |     |
| c. AI/ML software code to be shared/made publicly available (desirable but not required).  |            |    |     |
| <b>4.2 Methods: Performance and statistics</b>   |            |    |     |
| a. Performance metric(s) including any postprocessing (such as scoring criteria, decision threshold, binning) of the AI/ML output.   |            |    |     |
| b. Method(s) to estimate the uncertainty (such as 95% confidence intervals) of the performance metric(s).  |            |    |     |
| c. Significance of the obtained results compared to the null hypothesis (if applicable) or compared to a suitable benchmark metric.  |            |    |     |
| d. Subgroup analyses for important subgroups (e.g., by age, lesion size).  |            |    |     |
| e. Demonstrative results for the training, validation (tuning), and test sets.   |            |    |     |
| <b>5. Discussion</b>   |            |    |     |
| a. Conclusions as supported by the results.  |            |    |     |
| b. Limitations of the study.   |            |    |     |
| c. Discussion/summary of innovation (algorithm or application), significance (clinical or scientific), and/or contributions to the field of medical physics.   |            |    |     |

# AI/ML in the real-world!

Letter | Published: 03 June 2021

## Clinical integration of machine learning for curative-intent radiation treatment of patients with prostate cancer

Chris McIntosh, Leigh Conroy, Michael C. Tjong, Tim Craig, Andrew Bayley, Charles Catton, Mary Gospodarowicz, Joelle Helou, Naghmeh Isfahanian, Vickie Kong, Tony Lam, Srinivas Raman, Padraig Warde, Peter Chung, Alejandro Berlin & Thomas G. Purdie

Nature Medicine 27, 999–1005 (2021) | Cite this article

Journal of Clinical Oncology > List of Issues > Volume 38, Issue 31 >

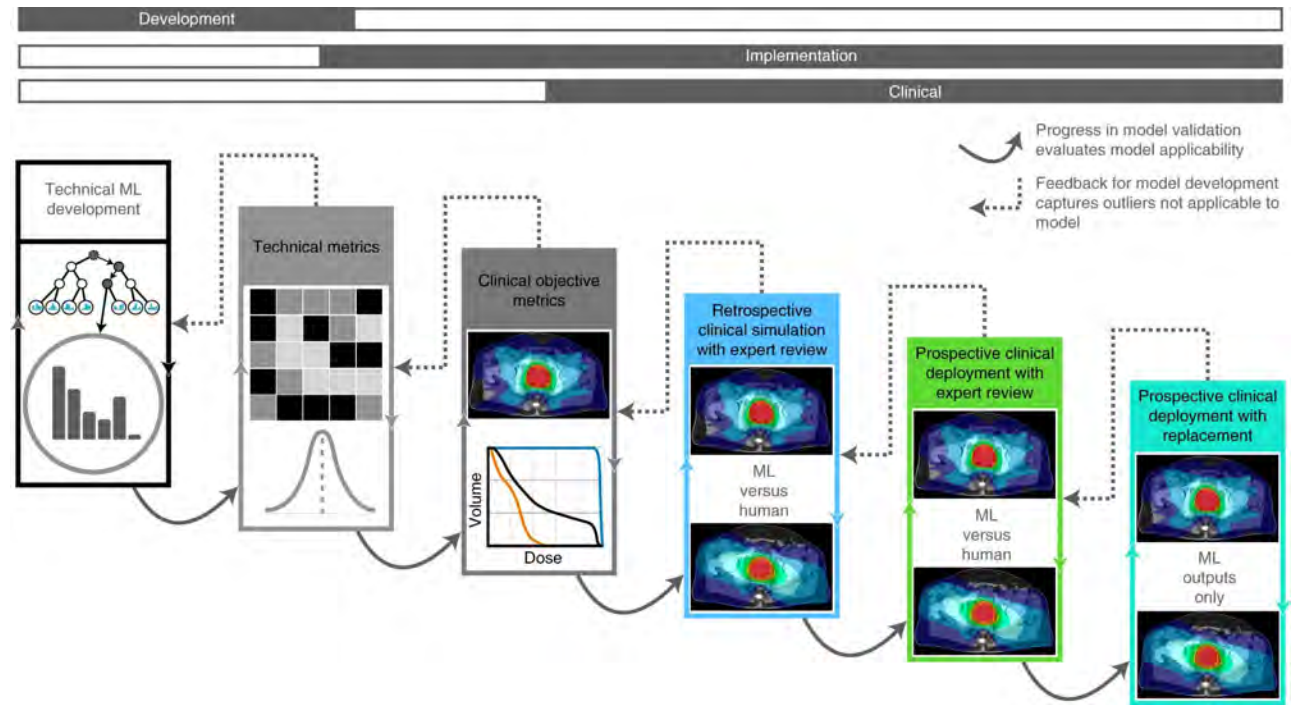
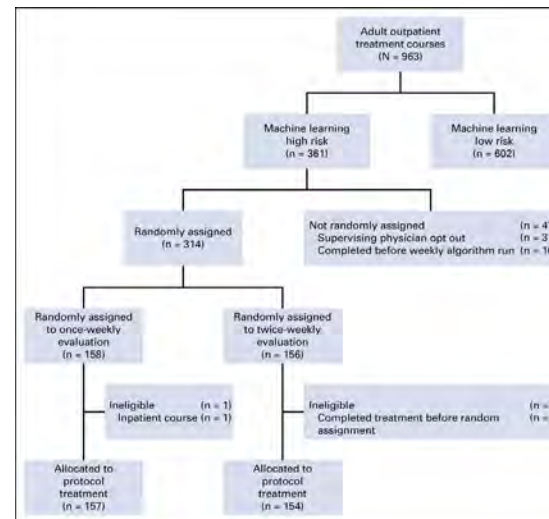
ORIGINAL REPORTS | Radiation Oncology

## System for High-Intensity Evaluation During Radiation Therapy (SHIELD-RT): A Prospective Randomized Study of Machine Learning–Directed Clinical Evaluations During Radiation and Chemoradiation

Check for updates

Julian C. Hong, MD, MS<sup>1,2,3</sup>; Neville C. W. Eclov, PhD<sup>3</sup>; Nicole H. Dalal, MD<sup>4</sup>; Samantha M. Thomas, MS<sup>5,6</sup>; Sarah J. Stephens, MD<sup>3</sup>; Mary Malicki, MSN, ACNP<sup>3</sup>; Stacey Shields, ANP-BC<sup>3</sup>; Alyssa Cobb, RN, BSN<sup>3</sup>; Yvonne M. Mowery, MD, PhD<sup>3,6</sup>; Donna Niedzwiecki, PhD<sup>5,6</sup>; Jessica D. Tenenbaum, PhD<sup>5</sup>; and Manisha Palta, MD<sup>3,6</sup>

<sup>1</sup>Department of Radiation Oncology, University of California, San Francisco, San Francisco, CA  
<sup>2</sup>Bakar Computational Health Sciences Institute, University of California, San Francisco, San Francisco, CA  
<sup>3</sup>Department of Radiation Oncology, Duke University, Durham, NC  
<sup>4</sup>Department of Medicine, University of California, San Francisco, San Francisco, CA  
<sup>5</sup>Department of Biostatistics and Bioinformatics, Duke University, Durham, NC  
<sup>6</sup>Duke Cancer Institute, Duke University, Durham, NC



News & Views | Published: 09 July 2021

RADIOTHERAPY

## Prospective clinical deployment of machine learning in radiation oncology

Issam El Naqa

Nature Reviews Clinical Oncology (2021) | Cite this article

# Randomized clinical trials with AI/ML/DL

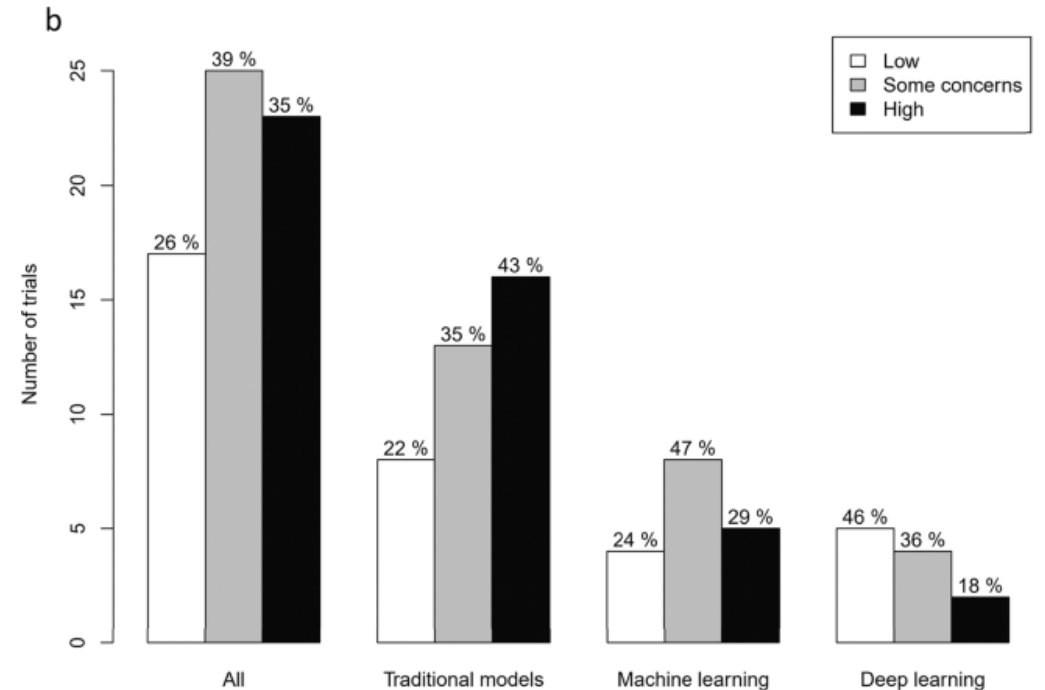
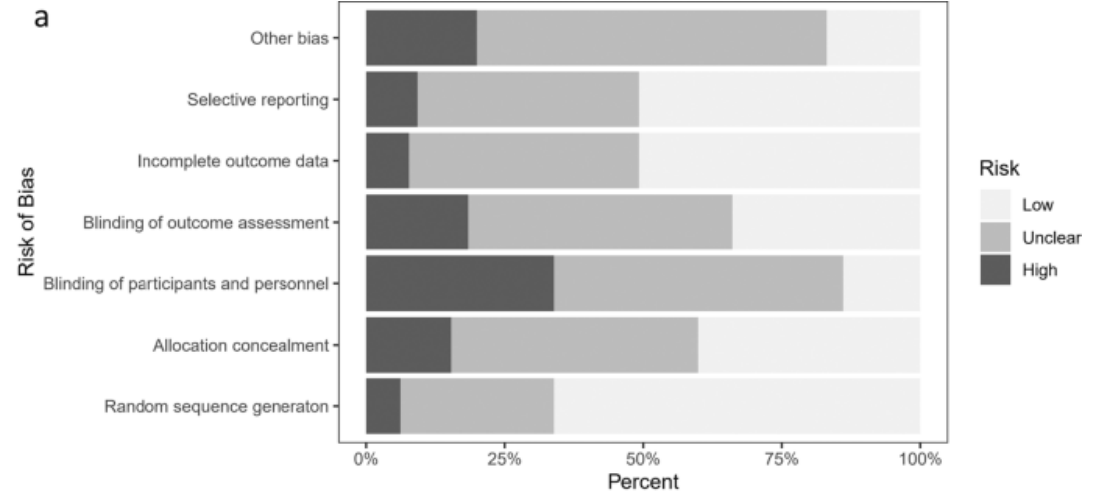


Table 1 | Randomised trial registrations of deep learning algorithms

| Trial registration  | Title  | Status               | Record last updated | Country | Specialty        | Planned sample size | Intervention                                      | Control                            | Blinding                                    | Primary outcome                                 | Anticipated completion |
|---------------------|--|----------------------|---------------------|---------|------------------|---------------------|---|------------------------------------|---|---|------------------------|
| ChiCTR-DDD-17012221 | A colorectal polyps auto-detection system based on deep learning to increase polyp detection rate: a prospective clinical study                                | Completed, published | 16 July 2018        | China   | Gastroenterology | 1000                | AI assisted colonoscopy                           | Standard colonoscopy               | None  | Polyp detection rate and adenoma detection rate | 28 February 2018       |
| NCT03240848         | Comparison of artificial intelligent clinic and normal clinic  | Completed, published | 30 July 2018        | China   | Ophthalmology    | 350                 | AI assisted clinic                                | Normal clinic                      | Double (investigator and outcomes assessor) | Accuracy for congenital cataracts               | 25 May 2018            |
| NCT03706534         | Breast ultrasound image reviewed with assistance of deep learning algorithms   | Recruiting           | 17 October 2018     | US      | Radiology        | 300                 | Computer aided detection system                   | Manual ultrasound imaging review   | Double (participant and investigator)       | Concordance rate                                | 31 July 2019           |
| NCT03840590         | Adenoma detection rate using AI system in China  | Not yet recruiting   | 15 February 2019    | China   | Gastroenterology | 800                 | CSK AI system assisted colonoscopy                | Standard colonoscopy               | None  | Adenoma detection rate                          | 1 March 2020           |
| NCT03842059         | Computer-aided detection for colonoscopy   | Not yet recruiting   | 15 February 2019    | Taiwan  | Gastroenterology | 1000                | Computer aided detection                          | Standard colonoscopy               | Double (participant, care provider)         | Adenoma detection rate                          | 31 December 2021       |
| ChiCTR1800017675    | The impact of a computer aided diagnosis system based on deep learning on increasing polyp detection rate during colonoscopy, a prospective double blind study | Not yet recruiting   | 21 February 2019    | China   | Gastroenterology | 1010                | AI assisted colonoscopy                           | Standard colonoscopy               | Double                                      | Polyp detection rate and adenoma detection rate | 31 January 2019        |
| ChiCTR1900021984    | A multicenter randomised controlled study for evaluating the effectiveness of artificial intelligence in improving colonoscopy quality                         | Recruiting           | 19 March 2019       | China   | Gastroenterology | 1370                | EndoAngel assisted colonoscopy                    | Colonoscopy                        | Double (participants and evaluators)        | Polyp detection rate                            | 31 December 2020       |
| NCT03908645         | Development and validation of a deep learning algorithm for bowel preparation quality scoring  | Not yet recruiting   | 9 April 2019        | China   | Gastroenterology | 100                 | AI assisted scoring group                         | Conventional human scoring group   | Single (outcome assessor)                   | Adequate bowel preparation                      | 15 April 2020          |
| NCT03883035         | Quality measurement of esophago-gastroduodenoscopy using deep learning models  | Recruiting           | 17 April 2019       | China   | Gastroenterology | 559                 | DCNN model assisted EGD                           | Conventional EGD                   | Double (participant, care provider)         | Detection of upper gastrointestinal lesions     | 20 May 2020            |
| ChiCTR1900023282    | Prospective clinical study for artificial intelligence platform for lymph node pathology detection of gastric cancer   | Not yet recruiting   | 20 May 2019         | China   | Gastroenterology | 60                  | Pathological diagnosis of artificial intelligence | Traditional pathological diagnosis | Not stated                                  | Clinical prognosis                              | 31 August 2021         |

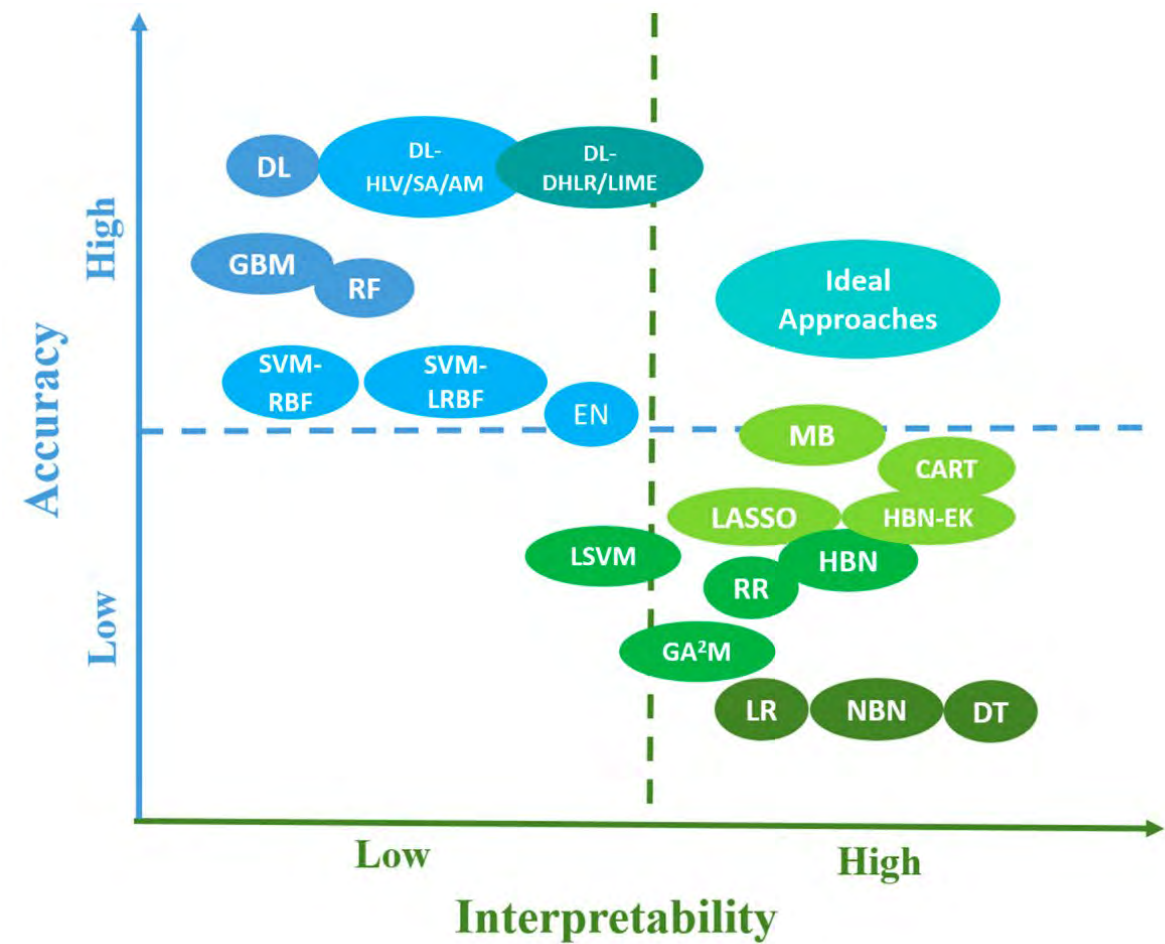
AI=artificial intelligence; CSK=commonsense knowledge; DCNN=deep convolutional neural network; EGD=esophago-gastroduodenoscopy.

Nagendran, BMJ, 2020



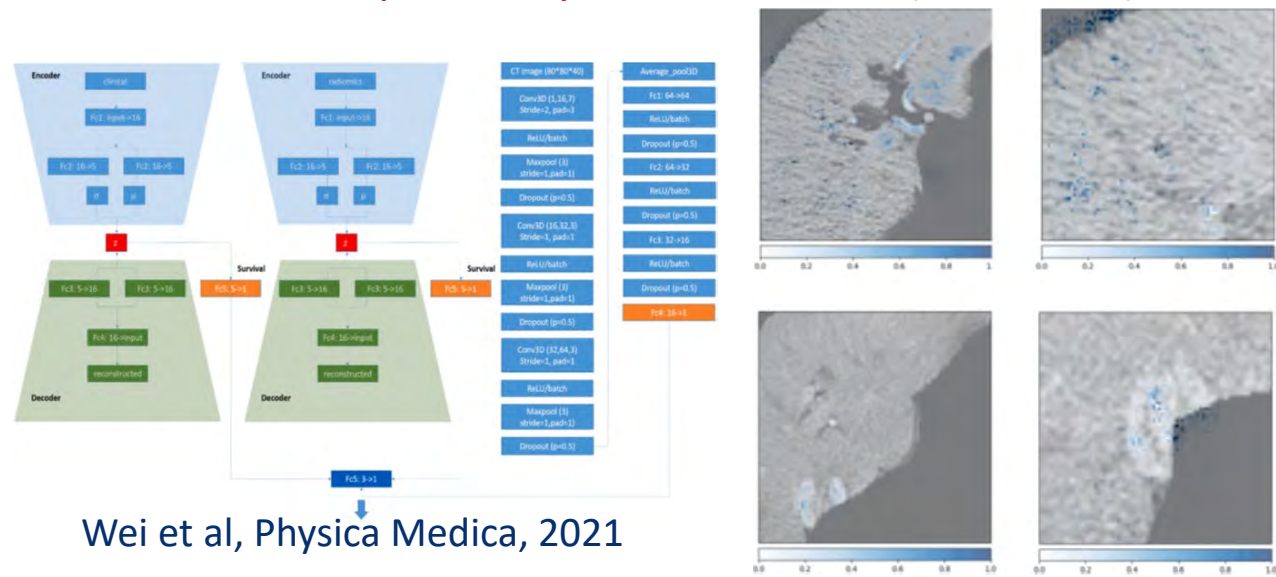
Zhou, Dig. Med., 2021

# ML/DL Interpretability

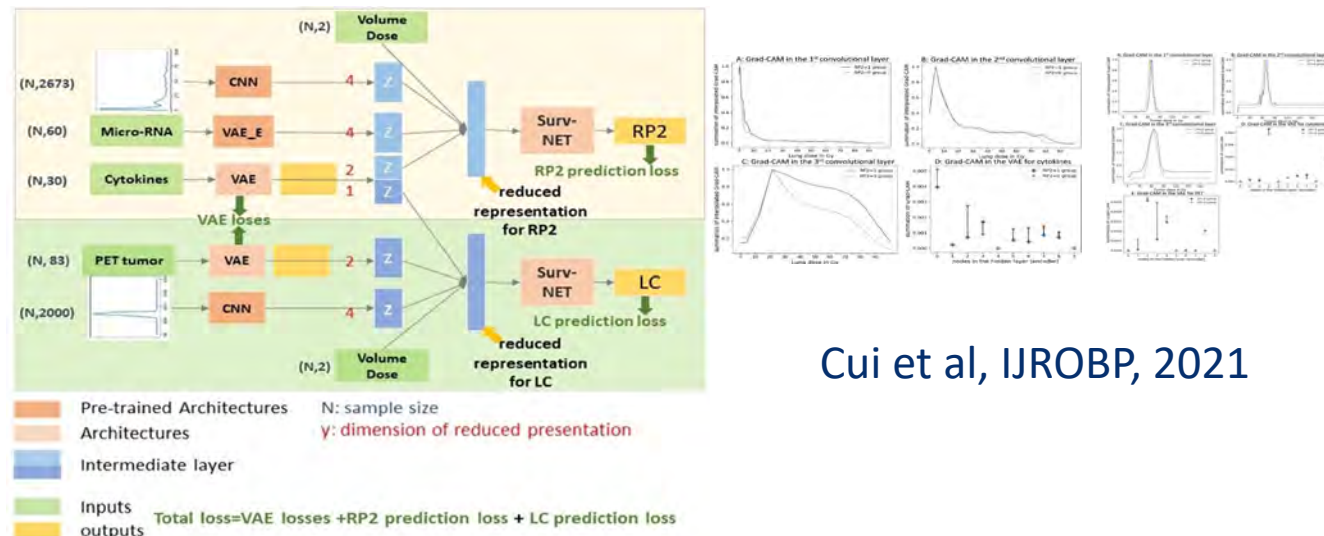


Luo, BJR-O, 2019

## Radiomics Interpretability for Liver Cancer (Grad-CAM)



## Multi-omics interpretability for Lung Cancer



# Intelligence augmentation (IA) instead of AI



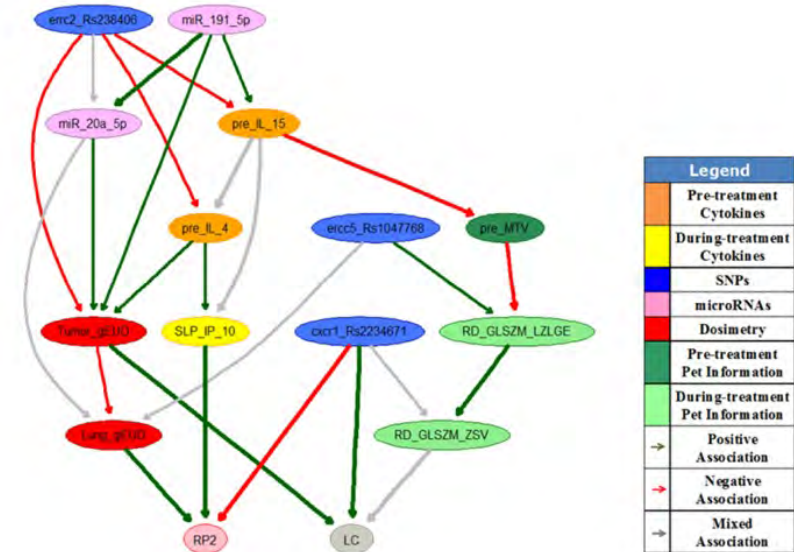
**Figure 1.** A “Fundamental Theorem” of informatics.  
(C. Friedman)

Tighter CIs but similar predictions!

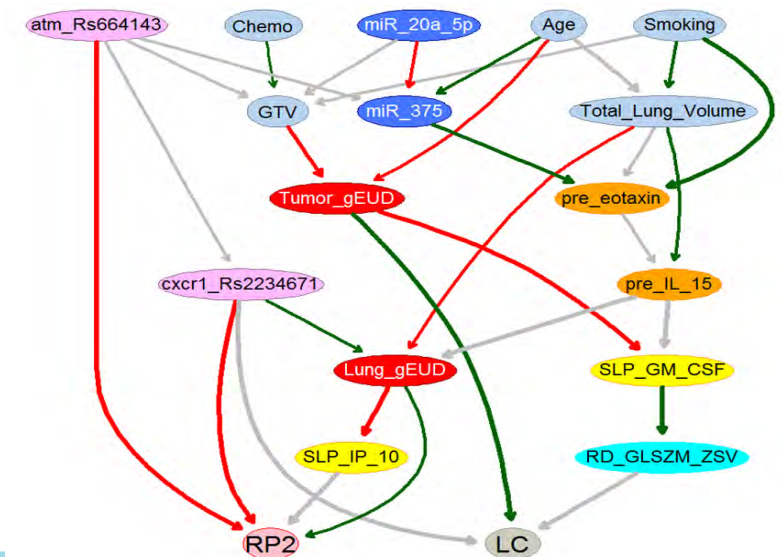


Luo, Physica Medica (Editor Choice), 2021

## Data-driven ML



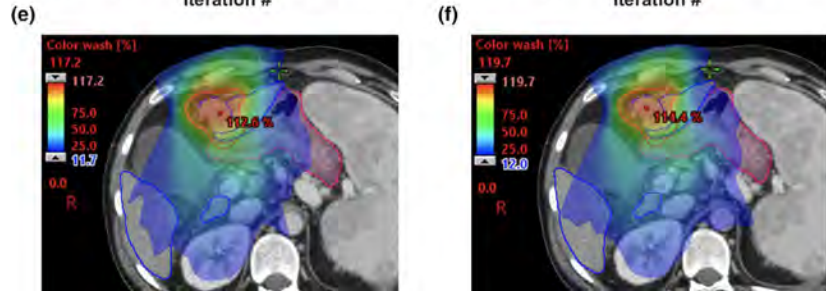
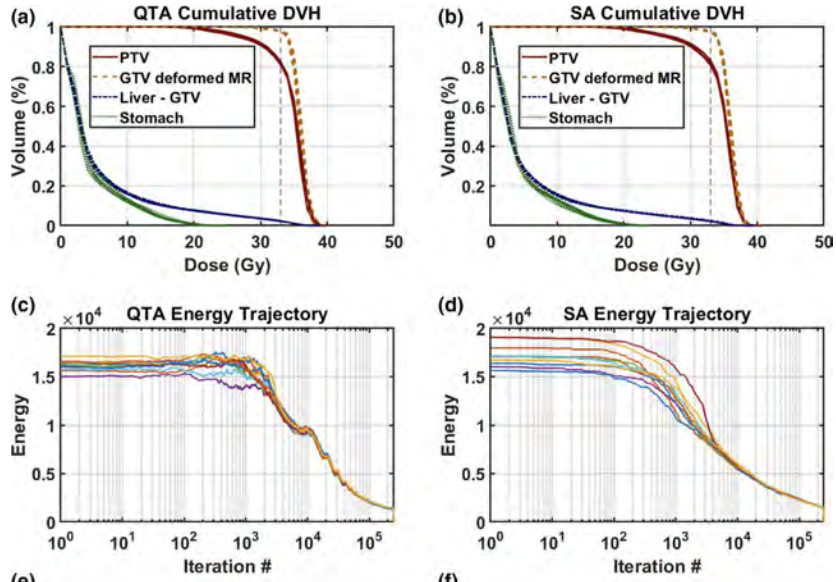
## Human + Data-driven ML



# Can Quantum theory help develop more robust AI/ML algorithms?

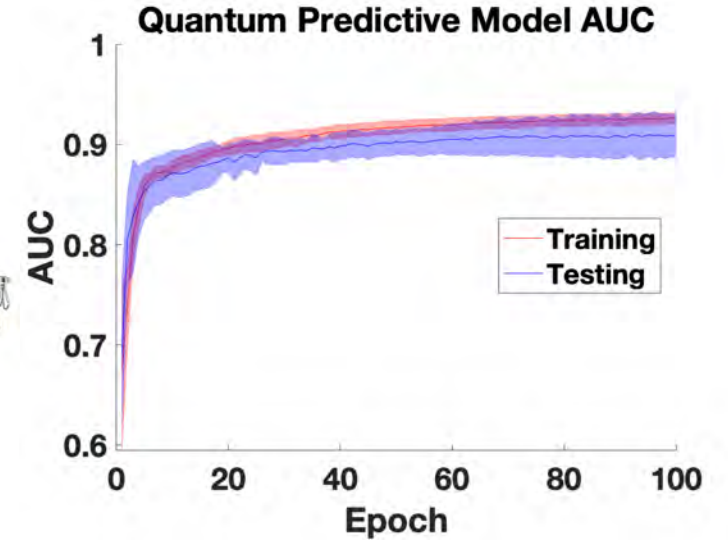
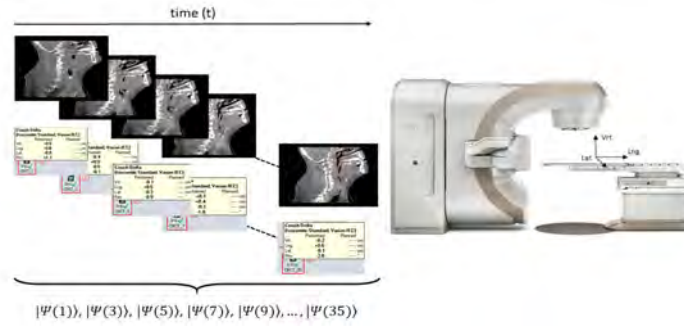


## Treatment Planning



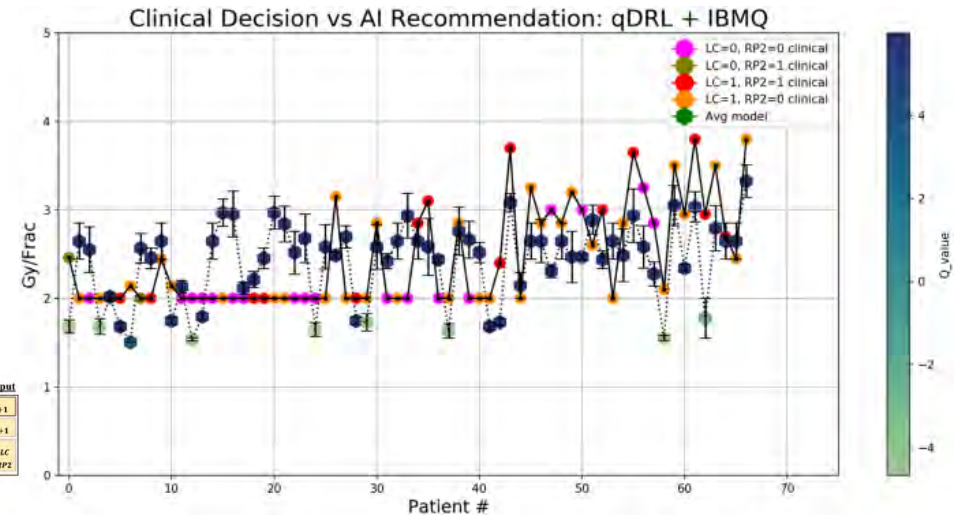
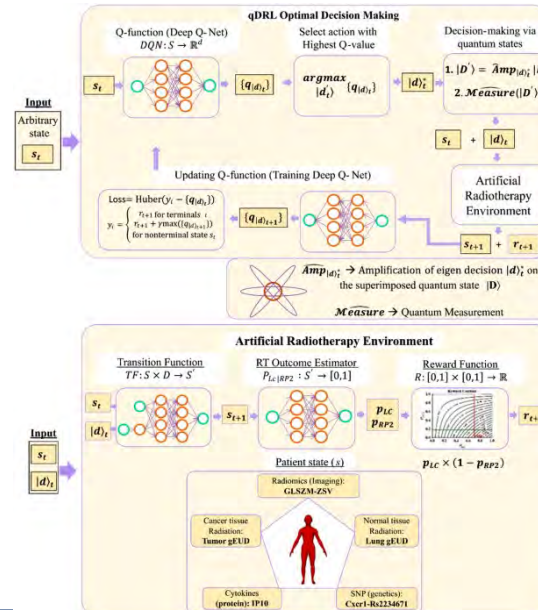
| Algorithm | Width Function | Mean Convergence Rate (s) |
|-----------|----------------|---------------------------|
| SA        | N/A            | 1157 ± 154.5              |
| QTA       | Hybrid         | 757.8 ± 162.3             |
| QTA       | MOCVD          | 622.1 ± 103.2             |
| QTA       | Sinusoid       | 526.2 ± 126.1             |

## Image-guided radiotherapy



Pakela et al, PMB, 2021

## Clinical Decision support



Niraula et al, Nature Sci Rep, 2021

# Machine Learning at Moffitt

 (@ml4onco)

COVER STORY

GUEST EDITORIAL

## Moffitt Cancer Center: Why we are building the first machine learning department in oncology

By Issam El Naqa and Dana Rollison

### VISION

To transform personalized cancer care and accelerate scientific discovery in cancer research with machine/deep learning

### MISSION

To design, develop, and translate state-of-the-art patient-centered machine and deep learning algorithms



### VALUE

*Patient-centered* ML/DL for facilitating cancer care and research



### VALUE

Unbiased, generalizable, and *interpretable* ML/DL from blended data



### VALUE

*Translate* ML/DL findings into the clinic to improve cancer care and research

[Moffitt.org/MachineLearning](https://Moffitt.org/MachineLearning)

# ML Strategic Priorities @ Moffitt



## Faculty



## Staff (ML Engineers)



| Strategic Priority   | First Year |    |    |    | Second Year |    |    |    | Third Year |    |    |    | Fourth Year |    |    |    | Fifth Year |    |    |    |
|--|------------|----|----|----|-------------|----|----|----|------------|----|----|----|-------------|----|----|----|------------|----|----|----|
|  | Q1         | Q2 | Q3 | Q4 | Q1          | Q2 | Q3 | Q4 | Q1         | Q2 | Q3 | Q4 | Q1          | Q2 | Q3 | Q4 | Q1         | Q2 | Q3 | Q4 |
| <b>1. Integration of ML into MCC research and clinical care</b>  |            |    |    |    |             |    |    |    |            |    |    |    |             |    |    |    |            |    |    |    |
| 1.1 Develop a robust and secure ML infrastructure that also leverages existing MCC resources   |            |    |    |    |             |    |    |    |            |    |    |    |             |    |    |    |            |    |    |    |
| 1.2 Convert clinical care data into research data including linkage of unstructured data using NLP methods                                       |            |    |    |    |             |    |    |    |            |    |    |    |             |    |    |    |            |    |    |    |
| 1.3 Establish ML working group for R&D (Machine Learning League [MLL])   |            |    |    |    |             |    |    |    |            |    |    |    |             |    |    |    |            |    |    |    |
| <b>2. Establish translational ML research program in priority areas</b>  |            |    |    |    |             |    |    |    |            |    |    |    |             |    |    |    |            |    |    |    |
| 2.1 Multimodality radiological and pathological imaging for diagnostic and outcomes  |            |    |    |    |             |    |    |    |            |    |    |    |             |    |    |    |            |    |    |    |
| 2.2 Information retrieval and annotation with natural language processing (NLP)  |            |    |    |    |             |    |    |    |            |    |    |    |             |    |    |    |            |    |    |    |
| 2.3 Outcome modeling and decision support by longitudinal integration of pan-omics data and using PROs for retrospective and prospective studies |            |    |    |    |             |    |    |    |            |    |    |    |             |    |    |    |            |    |    |    |
| 2.4 Molecular and computational biology and in silico trial designs  |            |    |    |    |             |    |    |    |            |    |    |    |             |    |    |    |            |    |    |    |
| <b>3. Establish basic ML research programs in priority areas</b>   |            |    |    |    |             |    |    |    |            |    |    |    |             |    |    |    |            |    |    |    |
| 3.1 Visual analytics, explainable and interpretable ML/AI  |            |    |    |    |             |    |    |    |            |    |    |    |             |    |    |    |            |    |    |    |
| 3.2 Automated ML architectures and evolutionary learning   |            |    |    |    |             |    |    |    |            |    |    |    |             |    |    |    |            |    |    |    |
| 3.3 Physics-based quantum ML, hybrid systems, and stochastic processes   |            |    |    |    |             |    |    |    |            |    |    |    |             |    |    |    |            |    |    |    |
| <b>4. Develop team science initiatives</b>   |            |    |    |    |             |    |    |    |            |    |    |    |             |    |    |    |            |    |    |    |
| 4.1 Program project or center of excellence to address clinical ML role  |            |    |    |    |             |    |    |    |            |    |    |    |             |    |    |    |            |    |    |    |
| 4.2 Program project or biotechnology resource to address basic science ML role   |            |    |    |    |             |    |    |    |            |    |    |    |             |    |    |    |            |    |    |    |
| <b>5. Develop residency/training programs</b>  |            |    |    |    |             |    |    |    |            |    |    |    |             |    |    |    |            |    |    |    |
| 5.1 PhD/Residency programs in ML for oncology  |            |    |    |    |             |    |    |    |            |    |    |    |             |    |    |    |            |    |    |    |



# Synopsis of Faculty Research Areas



Dr. Balagurunathan's research is focused on understanding the physiology of the tumor and its relationship to the underlying genome. His interests include data integration from various modalities (radiology, pathology, genome) to improve clinical decision support, His disease foci are prostate cancer, lung cancer and B-cell lymphomas.



Dr. Karolak's background includes applications and development of tools from the fields of computational and biophysical chemistry, structural biology, mathematical oncology, machine learning and information theory. Her interests focus on understanding cancer development, progression, and variability in the response to treatment using models that can be translated into the clinic.



Dr. Luo's research focuses on machine learning, systems informatics and their application to health outcomes, decision support, interpretable and credible models at both the individual and community levels for precision medicine, health equity and healthcare quality.



Dr. Thieu has been pursuing research in natural language processing and artificial intelligence with application in healthcare, education, and bioinformatics. His work involved standardization of mobility terminology from clinical notes, high throughput text mining, lexical complexity and language generation, and computer-assisted coding for medical billing.



Dr. Russel focuses on developing robust and explainable machine learning models for various medical imaging and signal processing applications. He is also interested in exploring machine learning paradigms that can tackle datasets from multiple scales and learn to answer clinically relevant questions. Such models will be robust to day-to-day changes in the input data and must explain their decisions to users, thus building trustworthiness.

# Machine and Federated Learning Infrastructure (API)

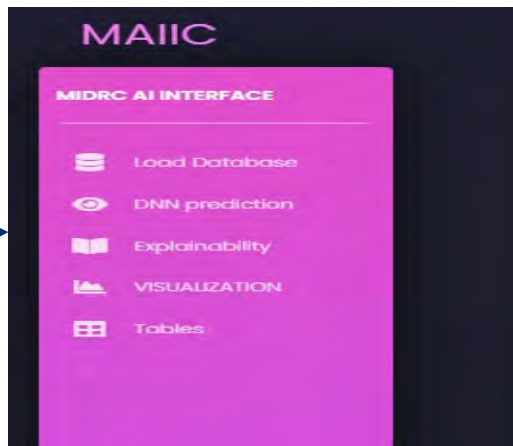


Data Platform/Covid Images

**GEN 3**  
Data Commons

Input

Django



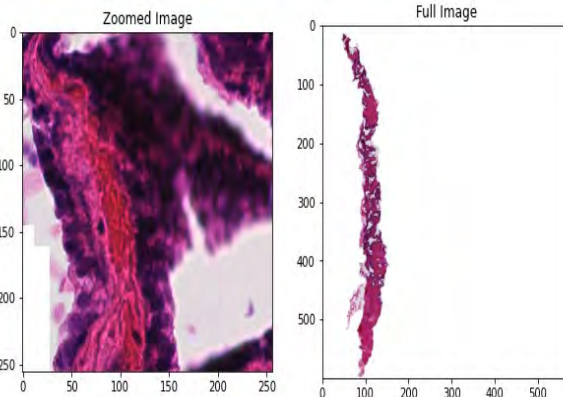
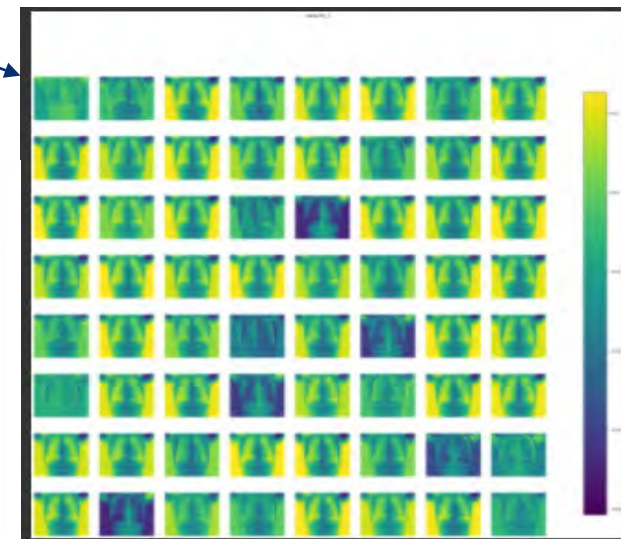
ML/DL

High Performance Computing (DGX/AWS EC2)

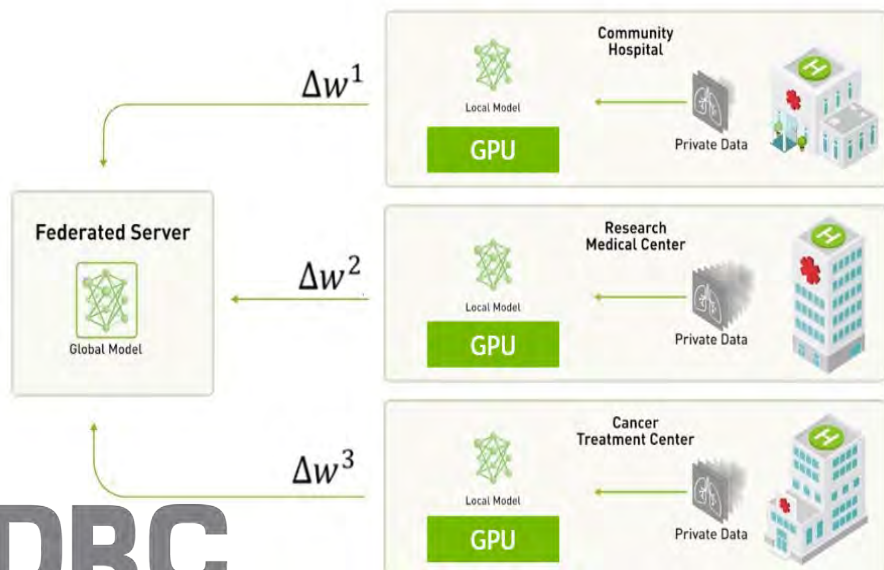


Amazon EC2

Visualization



$$\sum_{k=1}^K \frac{n_k}{n} w_{t+1}^k$$





# Community outreach: Machine Learning League

- Advance awareness and application of Machine Learning, Deep Learning, and Artificial Intelligence (AI) across the multiple disciplines in cancer research by hosting biweekly discussions on current machine learning research and tools



# Take home Messages

- **Artificial intelligence/machine learning** offers new opportunities to develop better understanding of oncology processes and improve its workflow
- Current boom in AI/ML is driven by growth in patient-specific information (**Big data**) and advances in computer hardware/software resources
- AI/ML will touch every aspect of **oncology** from planning to decision making and we should be ready to embrace it
- To overcome current barriers in AI/ML for healthcare emerging methods include including visualization (**Grad-CAM**), behavioral science (**human-in-the loop**), and physics-based (**quantum computing**) techniques
- **Collaboration** between stakeholders (data scientists, biologists, clinical practitioners, & vendors) will allow for safe and beneficial application of AI in biomedicine and oncology

